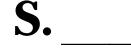
113th CONGRESS 2d Session



To amend the Communications Act of 1934 to provide for greater transparency and efficiency in the procedures followed by the Federal Communications Commission, and for other purposes.

IN THE SENATE OF THE UNITED STATES

Mr. HELLER introduced the following bill; which was read twice and referred to the Committee on _____

A BILL

- To amend the Communications Act of 1934 to provide for greater transparency and efficiency in the procedures followed by the Federal Communications Commission, and for other purposes.
 - 1 Be it enacted by the Senate and House of Representa-
 - 2 tives of the United States of America in Congress assembled,

3 SECTION 1. SHORT TITLE.

- 4 This Act may be cited as the "Federal Communica-
- 5 tions Commission Process Reform Act of 2014".

1	SEC. 2. FCC PROCESS REFORM.
2	(a) IN GENERAL.—Title I of the Communications Act
3	of 1934 (47 U.S.C. 151 et seq.) is amended by adding
4	at the end the following:
5	"SEC. 13. TRANSPARENCY AND EFFICIENCY.
6	"(a) DEFINITIONS.—In this section:
7	"(1) Amendment.—The term 'amendment' in-
8	cludes, when used with respect to an existing rule,
9	the deletion of the rule.
10	"(2) BIPARTISAN MAJORITY.—The term 'bipar-
11	tisan majority' means, when used with respect to a
12	group of Commissioners, that the group—
13	"(A) is a group of 3 or more Commis-
14	sioners; and
15	"(B) includes—
16	"(i) for each political party of which
17	any Commissioner is a member, not less
18	than 1 Commissioner who is a member of
19	that political party; and
20	"(ii) if any Commissioner has no po-
21	litical party affiliation, not less than 1 un-
22	affiliated Commissioner.
23	"(3) Performance measure.—The term 'per-
24	formance measure' means an objective and quantifi-
25	able outcome measure or output measure (as those

1	terms are defined in section 1115 of title 31, United
2	States Code).
3	"(4) Program activity.—The term 'program
4	activity'—
5	"(A) has the meaning given the term in
6	section 1115 of title 31, United States Code;
7	and
8	"(B) includes any annual collection or dis-
9	tribution or related series of collections or dis-
10	tributions by the Commission of an amount
11	that is not less than \$100,000,000.
12	"(5) Other definitions.—The terms 'agency
13	action', 'ex parte communication', and 'rule' have
14	the meanings given the terms in section 551 of title
15	5, United States Code.
16	"(b) INITIAL RULEMAKING AND INQUIRY.—
17	"(1) RULEMAKING.—Not later than 1 year
18	after the date of enactment of the Federal Commu-
19	nications Commission Process Reform Act of 2014,
20	the Commission shall complete a rulemaking pro-
21	ceeding and adopt procedural changes to the rules of
22	the Commission to maximize opportunities for public
23	participation and efficient decisionmaking.
24	"(2) REQUIREMENTS FOR RULEMAKING.—The
25	rules adopted under paragraph (1) shall—

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1	"(A) set minimum comment periods for
2	comment and reply comment, subject to a de-
3	termination by the Commission that good cause
4	exists for departing from the minimum com-
5	ment periods, for—
6	"(i) significant regulatory actions, as
7	defined in Executive Order 12866 (5)
8	U.S.C. 601 note; relating to regulatory
9	planning and review); and
10	"(ii) all other rulemaking proceedings;
11	"(B) establish policies concerning the sub-
12	mission of extensive new comments, data, or re-
13	ports towards the end of a comment period;
14	"(C) establish policies regarding treatment
15	of comments, ex parte communications, and
16	data or reports (including statistical reports
17	and reports to Congress) submitted after a
18	comment period to ensure that the public has
19	adequate notice of and opportunity to respond
20	to the submissions before the Commission relies
21	on the submissions in any order, decision, re-
22	port, or action;
23	"(D) establish procedures for publishing
24	the status of open rulemaking proceedings and
25	proposed orders, decisions, reports, or actions

1	on circulation for review by the Commissioners,
2	including which Commissioners have not cast a
3	vote on an order, decision, report, or action that
4	has been on circulation for more than 60 days;
5	"(E) establish deadlines (relative to the
6	date of filing) for—
7	"(i) in the case of a petition for a de-
8	claratory ruling under section 1.2 of title
9	47, Code of Federal Regulations, issuing a
10	public notice of the petition;
11	"(ii) in the case of a petition for rule-
12	making under section 1.401 of title 47,
13	Code of Federal Regulations, issuing a
14	public notice of the petition; and
15	"(iii) in the case of a petition for re-
16	consideration under section 1.106 or 1.429
17	of title 47, Code of Federal Regulations, or
18	an application for review under section
19	1.115 of such title, issuing a public notice
20	of a decision on the petition or application
21	by the Commission or under delegated au-
22	thority (as the case may be);
23	"(F) establish guidelines (relative to the
24	date of filing) for the disposition of petitions

1	filed under section 1.2 of title 47, Code of Fed-
2	eral Regulations;
3	"(G) establish procedures for the inclusion
4	of the specific language of the proposed rule or
5	the proposed amendment of an existing rule in
6	a notice of proposed rulemaking;
7	"(H) require each notice of proposed rule-
8	making or order adopting a rule or amending
9	an existing rule that creates (or proposes to
10	create) a program activity to contain perform-
11	ance measures for evaluating the effectiveness
12	of the program activity; and
13	"(I) require each notice of proposed rule-
14	making or order adopting a rule or amending
15	an existing rule that substantially changes (or
16	proposes to substantially change) a program ac-
17	tivity to contain—
18	"(i) performance measures for evalu-
19	ating the effectiveness of the program ac-
20	tivity as changed (or proposed to be
21	changed); or
22	"(ii) a finding that existing perform-
23	ance measures will effectively evaluate the
24	program activity as changed (or proposed
25	to be changed).

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1	"(3) INQUIRY.—Not later than 1 year after the
2	date of enactment of the Federal Communications
3	Commission Process Reform Act of 2014, the Com-
4	mission shall complete an inquiry to seek public
5	comment on whether and how the Commission
6	should—
7	"(A) establish procedures for allowing a bi-
8	partisan majority of Commissioners to place an
9	order, decision, report, or action on the agenda
10	of an open meeting;
11	"(B) establish procedures for informing all
12	Commissioners of a reasonable number of op-
13	tions available to the Commission for resolving
14	a petition, complaint, application, rulemaking,
15	or other proceeding;
16	"(C) establish procedures for ensuring that
17	all Commissioners have adequate time, prior to
18	being required to decide a petition, complaint,
19	application, rulemaking, or other proceeding
20	(including at a meeting held under section
21	5(d)), to review the proposed Commission deci-
22	sion document, including the specific language
23	of any proposed rule or any proposed amend-
24	ment of an existing rule;

1	"(D) establish procedures for publishing
2	the text of agenda items to be voted on at an
3	open meeting in advance of the meeting so that
4	the public has the opportunity to read the text
5	before a vote is taken;
6	((E) establish deadlines (relative to the
7	date of filing) for disposition of applications for
8	a license under section 1.913 of title 47, Code
9	of Federal Regulations;
10	"(F) assign resources needed to meet the
11	deadlines described in subparagraph (E), in-
12	cluding whether the ability of the Commission
13	to meet those deadlines would be enhanced by
14	assessing a fee from applicants for a license de-
15	scribed in subparagraph (E); and
16	"(G) publish each order, decision, report,
17	or action not later than 30 days after the date
18	of the adoption of the order, decision, report, or
19	action.
20	"(4) DATA FOR PERFORMANCE MEASURES.—
21	The Commission shall develop a performance meas-
22	ure or proposed performance measure required
23	under this subsection to rely, where possible, on data
24	already collected by the Commission.

1	"(c) PERIODIC REVIEW.—On the date that is 5 years
2	after the completion of the rulemaking proceeding under
3	subsection $(b)(1)$, and every 5 years thereafter, the Com-
4	mission shall initiate a new rulemaking proceeding to con-
5	tinue to consider any procedural changes to the rules of
6	the Commission that may be in the public interest to maxi-
7	mize opportunities for public participation and efficient
8	decisionmaking.
9	"(d) Nonpublic Collaborative Discussions.—
10	"(1) IN GENERAL.—Notwithstanding section
11	552b of title 5, United States Code, a bipartisan
12	majority of Commissioners may hold a meeting that
13	is closed to the public to discuss official business
14	if—
15	"(A) a vote or any other agency action is
16	not taken at the meeting;
17	"(B) each person present at the meeting is
18	a Commissioner, an employee of the Commis-
19	sion, a member of a joint board or conference
20	established under section 410, or a person on
21	the staff of such a joint board or conference or
22	of a member of such a joint board or con-
23	ference; and

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1	"(C) an attorney from the Office of Gen-
2	eral Counsel of the Commission is present at
3	the meeting.
4	"(2) DISCLOSURE OF NONPUBLIC COLLABO-
5	RATIVE DISCUSSIONS.—Not later than 2 business
6	days after the conclusion of a meeting held under
7	paragraph (1), the Commission shall publish a dis-
8	closure of the meeting, including—
9	"(A) a list of the persons who attended the
10	meeting; and
11	"(B) a summary of the matters discussed
12	at the meeting, except for any matters that the
13	Commission determines may be withheld under
14	section 552b(c) of title 5, United States Code.
15	"(3) PRESERVATION OF OPEN MEETINGS RE-
16	QUIREMENTS FOR AGENCY ACTION.—Nothing in this
17	subsection shall limit the applicability of section
18	552b of title 5, United States Code, with respect to
19	a meeting of Commissioners other than that de-
20	scribed in paragraph (1).
21	"(e) Access to Certain Information on Commis-
22	SION'S WEBSITE.—The Commission shall provide direct
23	access from the homepage of the website of the Commis-
24	sion to—
25	"(1) detailed information regarding—

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1	"(A) the budget of the Commission for the
2	current fiscal year;
3	"(B) the appropriations for the Commis-
4	sion for the current fiscal year; and
5	"(C) the total number of full-time equiva-
6	lent employees of the Commission; and
7	"(2) the performance plan most recently made
8	available by the Commission under section 1115(b)
9	of title 31, United States Code.
10	"(f) Federal Register Publication.—
11	"(1) IN GENERAL.—In the case of any docu-
12	ment adopted by the Commission that the Commis-
13	sion is required, under any provision of law, to pub-
14	lish in the Federal Register, the Commission shall,
15	not later than the date described in paragraph (2) ,
16	complete all Commission actions necessary for the
17	document to be so published.
18	"(2) DATE DESCRIBED.—The date described in
19	this paragraph is the earlier of—
20	"(A) the date that is 45 days after the
21	date of the release of the document described in
22	paragraph (1); or
23	"(B) the date by which the actions de-
24	scribed in paragraph (1) must be completed to

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1	comply with any deadline under any other pro-
2	vision of law.
3	"(3) No effect on deadlines for publica-
4	TION IN OTHER FORM.—
5	"(A) IN GENERAL.—In the case of a dead-
6	line that does not specify that the form of pub-
7	lication is publication in the Federal Register,
8	the Commission may comply with the deadline
9	by publishing the document in another form.
10	"(B) Applicability of federal reg-
11	ISTER PUBLICATION REQUIREMENTS.—Publica-
12	tion of a document in another form as described
13	in subparagraph (A) shall not relieve the Com-
14	mission of any Federal Register publication re-
15	quirement applicable to the document, including
16	the requirement under paragraph (1).
17	"(g) Consumer Complaint Database.—
18	"(1) IN GENERAL.—In evaluating and proc-
19	essing consumer complaints, the Commission shall
20	present information about the complaints in a pub-
21	licly available, searchable database on the website of
22	the Commission that—
23	"(A) facilitates easy use by consumers; and
24	"(B) to the extent practicable, is sortable
25	and accessible by—

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1	"(i) the date of the filing of the com-
2	plaint;
3	"(ii) the topic of the complaint;
4	"(iii) the party complained of; and
5	"(iv) other elements that the Commis-
6	sion considers in the public interest.
7	"(2) DUPLICATIVE COMPLAINTS.—In the case
8	of multiple complaints arising from the same alleged
9	misconduct, the Commission may satisfy the require-
10	ment under paragraph (1) by including information
11	concerning only 1 such complaint in the database
12	described in paragraph (1).
13	"(h) FORM OF PUBLICATION.—
14	"(1) IN GENERAL.—In complying with a re-
15	quirement under this section to publish a document,
16	the Commission shall publish the document on the
17	website of the Commission, in addition to publishing
18	the document in any other form that the Commis-
19	sion is required to use or is permitted to and chooses
20	to use.
21	"(2) EXCEPTION.—The Commission shall by
22	rule establish procedures for redacting documents
23	required to be published under this section so that
24	the published versions of the documents do not con-
25	tain—

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"(A) information the publication of which
 would be detrimental to national security,
 homeland security, law enforcement, or public
 safety; or

5 "(B) information that is proprietary or6 confidential.

7 "(i) TRANSPARENCY RELATING TO PERFORMANCE IN
8 MEETING FOIA REQUIREMENTS.—The Commission shall
9 take additional steps to inform the public about the per10 formance and efficiency of the Commission in meeting the
11 disclosure and other requirements under section 552 of
12 title 5, United States Code (commonly referred to as the
13 'Freedom of Information Act'), including by—

"(1) publishing on the website of the Commission the logs used by the Commission for tracking,
responding to, and managing requests submitted
under such section, including the Commission's fee
estimates, fee categories, and fee request determinations;

"(2) releasing to the public all decisions made
by the Commission (including decisions made by the
Bureaus and Offices of the Commission) granting or
denying requests filed under such section, including
any such decisions pertaining to the estimate and
application of fees assessed under such section;

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1	"(3) publishing on the website of the Commis-
2	sion electronic copies of documents released under
3	such section; and
4	"(4) presenting, in the annual budget estimates
5	of the Commission submitted to Congress and the
6	annual performance and financial reports of the
7	Commission, information about the handling by the
8	Commission of requests under such section, includ-
9	ing—
10	"(A) the number of requests under such
11	section the Commission received during the
12	most recent fiscal year;
13	"(B) the number of requests described in
14	subparagraph (A) granted and denied;
15	"(C) a comparison of the processing of re-
16	quests described in subparagraph (A) by the
17	Commission during a period of not less than
18	the 3 preceding fiscal years; and
19	"(D) a comparison of the results of the
20	Commission in processing requests described in
21	subparagraph (A) with the most recent average
22	for the United States Government as published
23	on www.foia.gov.
24	"(j) PROMPT RELEASE OF STATISTICAL REPORTS
25	AND REPORTS TO CONGRESS.—Not later than January 15

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of each year, the Commission shall identify, catalog, and
 publish an anticipated release schedule for all statistical
 reports and reports to Congress that are regularly or
 intermittently released by the Commission and will be re leased during the year.

6 "(k) ANNUAL SCORECARD REPORTS.—

"(1) IN GENERAL.—For the 1-year period beginning on January 1 of each year, the Commission
shall prepare a report on the performance of the
Commission in conducting proceedings and meeting
the deadlines established under subsection (b)(2)(E)
and the guidelines established under subsection
(b)(2)(F).

"(2) CONTENTS.—Each report required under
paragraph (1) shall contain detailed statistics on the
performance of the Commission as described in
paragraph (1), including, with respect to each Bureau of the Commission—

19 "(A) with respect to each type of filing
20 specified in subsection (b)(2)(E) or (b)(2)(F)—
21 "(i) the number of filings that were
22 pending on the last day of the period cov23 ered by the report;

24 "(ii) the number of filings described25 in clause (i) for which each applicable

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1	deadline or guideline established under
2	such subsection was not met and the aver-
3	age length of time those filings have been
4	pending; and
5	"(iii) for filings that were resolved
6	during the period covered by the report,
7	the average time between initiation and
8	resolution and the percentage for which
9	each applicable deadline or guideline estab-
10	lished under such subsection was met;
11	"(B) with respect to proceedings before an
12	administrative law judge—
13	"(i) the number of proceedings com-
14	pleted during the period covered by the re-
15	port; and
16	"(ii) the number of proceedings pend-
17	ing on the last day of the period covered
18	by the report; and
19	"(C) the number of independent studies or
20	analyses published by the Commission during
21	the period covered by the report.
22	"(3) Publication and submission.—The
23	Commission shall publish and submit to the Com-
24	mittee on Energy and Commerce of the House of
25	Representatives and the Committee on Commerce,

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Science, and Transportation of the Senate each re port required under paragraph (1) not later than the
 date that is 30 days after the last day of the period
 covered by the report.".

5 (b) EFFECTIVE DATES AND IMPLEMENTING6 RULES.—

7 (1) EFFECTIVE DATES.—

8 (A) NONPUBLIC COLLABORATIVE DISCUS-9 SIONS.—Subsection (d) of section 13 of the 10 Communications Act of 1934, as added by sub-11 section (a), shall apply beginning on the first 12 date on which all of the procedural changes to the rules of the Federal Communications Com-13 14 mission required under subsection (b)(1) of 15 such section have taken effect.

16 (B) SCHEDULES AND REPORTS.—Sub17 sections (j) and (k) of section 13 of the Com18 munications Act of 1934, as added by sub19 section (a), shall apply with respect to 2014
20 and any year thereafter.

(2) RULES.—Except as otherwise provided in
section 13 of the Communications Act of 1934, as
added by subsection (a), the Federal Communications Commission shall promulgate any rules nec-

essary to carry out such section not later than 1
 year after the date of enactment of this Act.

3 SEC. 3. CATEGORIZATION OF TCPA INQUIRIES AND COM-4 PLAINTS IN QUARTERLY REPORT.

5 In compiling its quarterly report with respect to informal consumer inquiries and complaints, the Federal 6 7 Communications Commission may not categorize an in-8 quiry or complaint with respect to section 227 of the Com-9 munications Act of 1934 (47 U.S.C. 227) as being a 10 wireline inquiry or complaint or a wireless inquiry or com-11 plaint unless the party whose conduct is the subject of 12 the inquiry or complaint is a wireline carrier or a wireless 13 carrier, respectively.

14 SEC. 4. EFFECT ON OTHER LAWS.

Nothing in this Act or the amendments made by this
Act shall relieve the Federal Communications Commission
from any obligations under title 5, United States Code,
except where otherwise expressly provided.

19SEC. 5. APPLICATION OF ANTIDEFICIENCY ACT TO UNI-20VERSAL SERVICE PROGRAM.

(a) IN GENERAL.—Section 254 of the Communications Act of 1934 (47 U.S.C. 254) is amended by adding
at the end the following:

"(m) APPLICATION OF ANTIDEFICIENCY ACT.—Sec tion 1341 and subchapter II of chapter 15 of title 31,
 United States Code, shall not apply to—

4 "(1) any amount collected or received as Fed5 eral universal service contributions required under
6 this section, including any interest earned on such
7 contributions; or

8 "(2) the expenditure or obligation of amounts 9 attributable to contributions described in paragraph 10 (1) for universal service support programs estab-11 lished under this section.".

(b) REPEAL OF TEMPORARY PROVISION.—The Universal Service Antideficiency Temporary Suspension Act
(title III of Public Law 108–494; 118 Stat. 3997) is repealed.