



# United States Department of the Interior

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NV Comm. Preservation of Wild Horses  
885 Eastlake Blvd  
Carson City, NV 89704

Dear Reader:

Enclosed are two wild horse and burro gather plans and environmental assessments (EAs), the Augusta Mountains Herd Management Area and the South Blue Wing Complex, that have been prepared by the Bureau of Land Management, Winnemucca Field Office.

The Augusta Mountains EA analyzes the impacts of gathering excess wild horses from the Augusta Mountains Herd Management Area (HMA) for the proposed action and several alternatives, including the No Action alternative. The Augusta Mountains HMA is located approximately 75 miles southeast of Winnemucca in Churchill, Lander, and Pershing Counties, Nevada. The South Blue Wing Complex EA analyzes the impacts of gathering wild horses and burros from the Blue Wing Mountains, Nightingale Mountains, and Shawave Mountains HMAs. The South Blue Wing Complex is located approximately 55 miles northeast of Reno in Pershing County, Nevada.

Comments to one or both EAs must be received by the Winnemucca Field Office by August 28, 2003. All comments received will be considered during the preparation of the Decision Record and Finding of No Significant Impacts for each EA. If you have any questions, please contact Nadine Paine, Glenna Eckel, or Rodger Bryan at (775) 623-1500.

Sincerely,

Les W. Boni  
Assistant Field Manager,  
Renewable Resources

- Enclosures:
1. Augusta Mountains Herd Management Area Gather Plan and Environmental Assessment (74pp)
  2. South Blue Wing Complex Gather Plan and Environmental Assessment (62pp)

**AUGUSTA MOUNTAINS HERD MANAGEMENT AREA**

**(NV-311)**

**Gather Plan and Environmental Assessment**

**NV-020-03-22**

**July 25, 2003**

**Winnemucca Field Office**

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## **I. Background Information**

### **A. Introduction**

With passage of the Wild Free-Roaming Horse and Burro Act of 1971 (Public Law 92-195), Congress found that: "Wild free-roaming horses and burros are living symbols of the historic and pioneer spirit of the West". The Act states that wild free-roaming horses are to be considered in the area where presently found, as an integral part of the natural ecosystem of the public lands. The Secretary was ordered to "manage wild free-roaming horses and burros in a manner that is designed to achieve and maintain a thriving natural ecological balance on the public lands". From the passage of the Act, through present day, the Bureau of Land Management (BLM), Winnemucca Field Office (WFO) has endeavored to meet the requirements of the Act. The procedures and policies implemented to accomplish this mandate have been constantly evolving over the years.

Throughout this period, BLM experience has grown, and the knowledge of the effects of current and past management on wild horses and burros has increased. For example, wild horses have been shown to be capable of 18 to 25% increases in numbers annually, while wild burros increase at a slower rate, 11 to 15%. This can result in a doubling of the wild horse population about every 3 years. Field Offices have learned more about individual herds through vegetation studies, census, seasonal distribution flights, and gather activities. At the same time, nationwide awareness and attention has grown. As these factors have come together, the emphasis of the wild horse and burro program has shifted. Program goals have expanded beyond simply establishing a "thriving natural ecological balance" by setting an appropriate management level (AML) for individual herds, to include achieving and maintaining viable, vigorous and stable populations.

The National Wild Horse and Burro Strategy involves establishing and achieving AML on all herd management areas (HMAs) managed by the BLM, and to achieve and maintain AML on all HMAs following a four-year gather cycle. The numbers of animals projected to be removed, based on this four year rotation, were estimated based on the use of the wild horse population model developed by Dr. Stephen Jenkins of the University of Nevada Reno. Those numbers by state and year, were first proposed through the Presidents 2001 budget request as the "Strategy to Achieve Healthy Lands and Viable Herds, The Restoration of Threatened Watersheds Initiative" which was later funded by Congress.

This Environmental Assessment and Gather Plan for the Augusta Mountains HMA will analyze the impacts associated with the Proposed Action and the Alternatives, including the No Action Alternative. A Population Management Plan (PMP) or Herd Management Area Plan (HMAP) has not been completed for the Augusta Mountains HMA. A PMP, which will incorporate additional data, current knowledge, and management objectives for the Augusta Mountains HMA wild horse population, will be completed within two years of the completion of the gather.

## B. Purpose of and Need for Action

The purpose of the proposed action is to achieve and maintain the AML for wild horses in the Augusta Mountains HMA, collect information on herd characteristics, and determine herd health, and implement a fertility control research project. By achieving and maintaining AML in the Augusta Mountains HMA, the BLM will also meet its objectives in the HMA. These objectives include:

- *Manage the Augusta Mountains HMA to achieve and maintain a thriving natural ecological balance, and multiple-use relationship*
- *Manage the Augusta Mountains HMA population to preserve and enhance the historic physical and biological characteristics of the herd*
- *Maintain sex ratios and age structures, which will allow for the continued physical, reproductive and genetic health of the Augusta Mountains HMA*
- *Preserve and maintain a healthy and viable wild horse population that will survive and be successful within the HMA during poor years when elements of the habitat are limiting due to severe winter conditions, drought, or other uncontrollable and unforeseeable environmental influences to the herd*
- *Manage the Augusta Mountains HMA wild horse herd as a self-sustaining population of healthy animals in balance with other uses and the productive capacity of their habitat*

Wild horses were last gathered in the Augusta Mountains HMA in summer of 1999. At completion of the gather the population was estimated to be 244 wild horses. Since that time the population has grown to an estimated 495 wild horses, which exceeds the AML by 61% (187 head). The action is needed to reduce the wild horse population to the AML of 308 head established by the Final Multiple Use Decisions (FMUDs) for the Hole In The Wall, Jersey Valley, Home Station Gap, and Cottonwood Allotments (see Table 1). Removal of excess wild horses would lead to achieving and maintaining a thriving natural ecological balance and multiple-use relationship in the Augusta Mountains HMA, and to insure healthy, viable herds.

**Table 1. Current AMLs - Augusta Mountains HMA**

<b>Allotment</b>	<b>Horses</b>
Hole In The Wall	71
Home Station Gap	56
Jersey Valley	148
Cottonwood	33
<b>Total</b>	<b>308</b>

Additionally, the proposed action is necessary to remove approximately 51 head of wild horses from an area outside the HMA just south of the Echo Bay Mine on the west side of Highway 305.

From 1999 through 2002 northern Nevada has experienced hot dry weather conditions and severe drought. The latest National Oceanic and Atmospheric Administrations

(NOAA) U.S. Seasonal Drought Outlook predicts severe to extreme drought conditions from May – August of 2003 with below normal rainfall. The current dry conditions may persist or intensify in northern Nevada. The Augusta Mountains area is currently experiencing extreme drought conditions. The CPC's May 2003 Forecast Forum indicates that development towards El Nino will continue with weak to moderate El Nino conditions through early 2004. A weak to moderate El Nino would feature much weaker global impacts than were experienced in the very strong 1997-1998 El Nino. The climatic forecasts indicate that the action should be implemented as scheduled to protect the health and welfare of wild horses and their habitat, as well as to ensure there is adequate forage available for winter 2003/2004.

### **C. Conformance with Existing Land Use Plans**

Winnemucca Field Office's Sonoma-Gerlach Resource Area Management Framework Plan (MFP) Record of Decision (ROD), which directs management in part of the project area, was approved on July 9, 1982. Carson City Field Office's Consolidated Resource Management Plan, which directs management in part of the project area, was approved May 9, 2001. Battle Mountain Field Office's Shoshone Eureka Resource Area (SERA) ROD, which directs the management in part of the project area, was approved February 26, 1986. These documents have been reviewed. The proposed action is in conformance with these plans, and is consistent with federal and state laws, regulations, and plans to the maximum extent possible.

### **D. Relationship to Statutes, Regulations, Policies, Plans, and Other Environmental Analyses**

The proposed action and alternatives are in conformance with the Wild Free-Roaming Horse and Burro Act of 1971 (PL 92-195 as amended); all applicable regulations found in 43 CFR 4700 and policies; the Strategic Plan for the Management of Wild Horses and Burros on the Public Lands; and the Nevada BLM Revised Tactical Plan – Wild Free-Roaming Horses and Burros, Ensuring the Legend Lives Free. The proposed action and alternatives also conform to objectives from the three MFPs referenced above.

The carrying capacity for livestock and wild horses, multiple-use management objectives, and the Terms and Conditions for livestock grazing for the Hole In The Wall, Home Station Gap, Jersey Valley, and Cottonwood Allotments were established in conformance with the various Land Use Plans, BLM policy, allotment evaluations, and the Sierra Front/Northwest Great Basin and the Northeastern Great Basin Resource Advisory Council Areas' Standards and Guidelines.

The AMLs for the allotments within the Augusta Mountains HMA were established through the allotment evaluation process and the FMUDs. The FMUD for the Hole In The Wall, Home Station Gap, and Jersey Valley Allotments was signed on January 9, 1997 and is available for review in the Winnemucca Field Office. The FMUD for the Cottonwood Allotment was signed in August 1994 and is available for review in the

Battle Mountain Field Office. The Northeastern Great Basin Area Resource Advisory Council (RAC) Standards and Guidelines for healthy wild horse and burro populations were approved December 14, 2000. The Sierra Front-Northwestern Great Basin Area RAC adopted its Standards and Guidelines for livestock, approved February 12, 1997, to be used for wild horse and burro populations. The Standards and Guidelines for both RACs reflect the stated goals of improving rangeland health while providing for the viability of the livestock industry, all wildlife species, and wild horses and burros. The RAC's Standards and Guidelines are listed in Appendices A and B.

Environmental analyses (EA)s have been conducted in past years which analyze the impacts of various gather methods on wild horses, and other critical elements of the human environment, to achieve AML. These documents include:

1. Programmatic Environmental Assessment, Wild Horse Fertility Control Research, EA No. NV-020-00-02, November 1999.
2. Winnemucca District Wild Horse/Burro Removal Programmatic Environmental Assessment, EA No. NV-020-7-24, August 1987.

## **II. Alternatives Including the Proposed Action**

Five alternatives, including the Proposed Action and the No Action Alternative, will be analyzed within this document. Details of each alternative will follow the "Actions in Common" section below.

### **Actions in Common With All Alternatives Except No Action Alternative**

During the proposed gather activities, the WFO Wild horse and Burro (WH&B) Specialists would determine animal sex, age, and color; assess heard health (e.g., pregnancy, parasite loading, physical condition, etc.); and sort individuals as to age, size, sex, temperament, and/or physical condition. Data would be collected, including biological samples, for analysis and inclusion into future planning documents, in particular the Population Management Plan (PMP) that will be written before the next scheduled wild horse removal on the Augusta Mountains HMA. Selected animals would be returned to the range. Excess animals would be transported to a BLM adoption preparation facility.

#### **A. Augusta Mountains HMA Objectives**

##### **1. Management Range**

Maintain a management range in the Augusta Mountains HMA of 185 to 308 wild horses, as shown in Table 2.



**Table 2. Management Range**

<b>Allotment</b>	<b>Management Range</b>
Hole In The Wall	42 to 71 head
Home Station Gap	34 to 56 head
Jersey Valley	89 to 148 head
Cottonwood	20 to 33 head
<b>Total</b>	<b>185 to 308 head</b>

Wild horse movement among the four allotments in the Augusta Mountains HMA is evidenced by trails and seasonal variation in distribution. It is recognized that individually, the lower management ranges in Hole In The Wall and Home Station Gap Allotments, and the whole management range in Cottonwood Allotment, do not constitute genetically viable populations. However, as indicated, these horses interact with each other, and with the horses in Jersey Valley; the interaction should insure genetic viability. The combined total of the management ranges of all four allotments in the Augusta Mountains HMA would be considered the management range for the entire HMA.

## **2. Selective Removal Criteria**

Determination of which horses would be returned to the range would be based on an analysis of existing population characteristics and HMA objectives. Wild horses would be selected and released back into the HMA based on the historic herd characteristics, such as color pattern and sex ratio, of the Augusta Mountains HMA. Objectives for the herd were detailed previously under the Purpose of and Need for Action section, and historic population characteristics are described in Chapter III, Affected Environment. Wild horses selected for release back into the HMA would adhere to the National Selective Removal Policy to the extent possible, in accordance with the *Gather Policy and Selective Removal Criteria for Wild Horses, Washington Office IM 2002-095*, which details the priorities to be followed as:

- a. Age Class Five Years and Younger:** Wild horses five years of age and younger may be removed and placed into the national adoption program.
- b. Age Class Ten Years and Older:** Wild horses ten years of age and older may be removed and placed into long-term holding.

Any animals within this age class that are in the Henneke category of 2 or less and have no chance of timely improvement would be evaluated for euthanasia. Any euthanasia would be in accordance with Washington Office Instruction Memorandum 2001-165. Older horses that, in the opinion of the Authorized Officer, may survive if released but probably would not tolerate the stress of removal, preparation, and holding would be evaluated for return to the HMA.

**c. Age Class Six to Nine Years:** Wild horses aged six to nine years old should be removed last and only if the management range in the HMA cannot be achieved without their removal.

The National Selective Removal criteria would be followed to the extent possible, however population modeling estimated that only 43 wild horses (25 mares and 18 studs) would fall into the of 6-9 year old age categories (Appendix C Population Modeling). Therefore, it is anticipated that additional animals from the younger and/or older categories would need to be released to meet the objective of the Proposed Action or Alternatives. Animals older than 9 years of age would be preferred for several reasons that include decreased adoption demand for older animals, and horses older than 9 years old are currently placed in long-term holding facilities. Exceptional animals that represent historic colors, size and/or confirmation may be chosen for release outside of the selective removal priorities. Weak, unhealthy and unthrifty animals would not be selected for release back onto the HMA.

To enhance the selection process, more animals than required by the Proposed Action or Alternatives would initially be separated for release, and then a final sorting completed to select the exact animals for release, based on traits and ages of all of the animals initially selected for release. Additionally, in the case that a certain number of wild horses evade gather, and have been confirmed by the WFO WH&B Specialist, the total number of animals released may be reduced by this number.

### **3. Outside the Augusta Mountains HMA**

Gather and remove wild horses from an area outside the HMA south of Echo Bay Mine on the west side of Highway 305. The Federal Code of Regulations states, "Management of wild horses and burros shall be undertaken with the objective of limiting the animals' distribution to head areas" (43 CFR 4710.4). The wild horses south of Echo Bay Mine are also occupying an area that is a sage grouse population management unit (PMU) occurs in this area and is impacted by the presence of horses.

## **B. Gather Operations**

The gather would be conducted through use of the Great Basin Wild Horse and Burro Gather Contract. Multiple gather sites (traps) may be used to gather wild horses from the HMA. To the maximum extent possible, gather sites would be located in previously disturbed areas. Much of the Augusta Mountains HMA is located within the Augusta Mountains Wilderness Study Area (WSA) NV-030-108 (see *Project Location* map) and therefore previous capture sites will be utilized to the extent possible. All gather and handling activities (including gather site

selections) would be conducted in accordance with the Standard Operating Procedures (SOPs) described in Appendix D. The helicopter drive trap gather technique would be utilized for this gather. It is estimated that three or four trap sites would be required to complete the gather. When animals are released, every effort would be made to release them back into the same general area from which they were gathered.

As needed, an Animal and Plant Health Inspection Service (APHIS) Veterinarian may be on-site during gather operations to examine animals and make recommendations to the WFO WH&B Specialists for care and treatment of the wild horses. Consultation with a veterinarian would take place prior to euthanasia in accordance with Washington Office Instruction Memorandum 2001-165.

### C. Data Collection

The following data may be collected during the gather, to assure an adequate database to prepare a PMP:

1. **Blood Samples.** Blood samples would be collected from release animals and analyzed to establish genetic baseline data (genetic diversity, historical origins of the herd, unique markers, plus norms for the herd) for the HMA in accordance with the *Gather Policy and Selective Removal Criteria for Wild Horses, Washington Office IM 2002-095*. The minimum sample size is 25 per cent of the upper end of the management range or a minimum of 25 samples and not more than 100 per population. Blood would be drawn from both mares and studs in a ratio similar to the sex ratio released. The blood sample analysis would provide a comparison with domestic breeds and other wild populations that have been tested. A Veterinarian or other trained personnel would collect the blood samples.
2. **Sex ratio/Age Structure.** The sex, age, and disposition (remove or release) for each animal gathered would be recorded. This data would be used to develop a pre-gather and release sex ratio/age structure summary for the HMA. The pre-gather sex ratio/age structure would be developed by combining the release sex ratio/age structure data collected at the gather, with sex ratio/age structure data collected at the adoption preparation/holding facility receiving the removed animals.
3. **Reproduction and Survival.** Information on reproduction and survival would be collected to the extent possible, through documentation of the wild horses gathered, and the age of those released following the gather.
4. **Characteristics.** Color and size of the animals would be recorded. The type of horse would be noted if it can be determined, or a general impression of the type of horses gathered within the HMA. Incidence of albinism, parrot mouth, club

feet, severely crooked legs or any other negative trait believed to be genetic, would be recorded along with the disposition of that animal.

5. **Condition Class.** Condition class would be recorded using the Henneke System for those animals that are exceptions to average, such as noticeably thin, or fat wild horses.
6. **Other data.** All other data believed to be essential to the Population Management Planning effort would be collected during the gather. This may include parasite load, disease (from blood samples), percentage and age of pregnant mares, or other data.

## **PROPOSED ACTION**

### **Removal to the Lower Limit of Management Range with Fertility Control**

Gather approximately 446 wild horses from the Augusta Mountains HMA; remove approximately 310 wild horses; implement an immunocontraceptive research project on 100% of the mares released, approximately 82 head; and release approximately 136 wild horses (82 mares and 54 studs) back to the HMA, which will approximate the lower limit of the management range. Gather and remove approximately 51 wild horses from the area south of Echo Bay Mine on the west side of highway 305.

All of the mares released back to the HMA would be treated with an immunocontraceptive vaccine, Porcine zona pellucidae (PZP), administered by trained BLM personnel. The inoculation of mares would consist of a liquid dose of PZP vaccine and a time released portion of the drug in the form of pellets. The approach incorporates the PZP into a non-toxic, bio-degradable material which can be formed into small pellets. The pellets are injected with the liquid and are designed to release PZP at several points in time much the way time-release cold pills work. Delivery of the vaccine would be by means of syringe or dart with a 12 gauge needle or 1.5" barbless needle respectfully. 0.5 cc of the PZP vaccine would be emulsified with 0.5 cc of adjuvant (a compound that stimulates antibody production) and loaded into the delivery system. The pellets would be placed in the barrel of the syringe or dart needle and would be injected with the liquid. Upon impact the liquid in the chamber would be propelled into the muscle along with the pellets. This formulation would be delivered as an intramuscular injection by a jab stick syringe, while mares are restrained in the working chute. This delivery method has been used previously to deliver immunocontraceptive vaccine with acceptable results.

Effectiveness of the two-year vaccine is 94% in year one, 82% in year two, and monitoring results from Clan Alpine show a residual effect in year three of 32%. However, administration of this two-year vaccine to mares in late summer (before November) would be expected to be 90% effective the first year and minimally effective the next year.

Wild horse mares treated with PZP will, at a minimum, be freeze-marked on the hip for identification purposes. The WFO will assure that these animals do not enter the adoption

market for three years following treatment. A field data sheet will be forwarded to the field from NPO prior to treatment. This form will be used to record all pertinent data relating to identification of the mare (including photo when possible), date of treatment, type of treatment (1 yr, 2yr, and Adjuvant used), HMA, etc. The form and any photos will be maintained at the field office and a copy of the completed form will be sent to Ron Hall at the National Program Office (NPO), Reno, NV.

A tracking system will be maintained by NPO detailing the quantity of PZP issued, the quantity used, the disposition of any unused PZP, and the number of treated mares by HMA, FO, and State along with the freeze-mark applied by HMA. In the vast majority of cases, the released mares will never be gathered sooner than the mandatory three year holding period. In those rare instances when, due to unforeseen circumstances, a treated mare(s) are removed from an HMA they will be maintained either in a BLM facility or a contracted Long Term Holding Facility until the expiration of the tree year holding period. In the event it is necessary to remove treated mares, their removal and disposition will be coordinated through NPO. After expiration of the holding period, the animal may be placed in the adoption system.

In addition to field and routine monitoring, aerial monitoring to determine contraceptive efficacy would be scheduled subsequent to breeding seasons in years 2 and 4 after application of the vaccine.

### **ALTERNATIVE I**

#### **Removal to the Lower Limit of the Management Range without Fertility Control**

Gather approximately 446 wild horses from the Augusta Mountains HMA; remove approximately 310 wild horses; and release approximately 136 wild horses (82 mares and 54 studs) back into the HMA, which will represent the lower limit of the management range. A fertility control research project would not be implemented with those mares released back into the HMA. Gather and remove approximately 51 wild horses from the area south of Echo Bay Mine on the west side of highway 305.

### **ALTERNATIVE II**

#### **Removal to the Upper Limit of the Management Range with Fertility Control**

Gather approximately 446 wild horses from the Augusta Mountains HMA; remove approximately 187 wild horses; implement an immunocontraceptive research project on 100% of the mares released, approximately 156 head; and release approximately 259 wild horses (156 mares and 103 studs) back to the HMA, which will approximate the upper level of the management range. Delivery of the immunocontraceptive vaccine would be as described under the Proposed Action. Gather and remove approximately 51 wild horses from the area south of Echo Bay Mine on the west side of highway 305.

### ALTERNATIVE III

#### **Removal to the Upper Limit of the Management Range without Fertility Control**

Gather approximately 446 wild horses from the Augusta Mountains HMA; remove approximately 187 wild horses; and release approximately 259 wild horses (156 mares and 103 studs) back into the HMA, which will represent the upper limit of the management range. Gather and remove approximately 51 wild horses from the area south of Echo Bay Mine on the west side of highway 305. A fertility control research project would not be implemented with those mares released back into the HMA.

### ALTERNATIVE IV

#### **No Action**

This alternative postpones direct management of the wild horse and burro populations in the Augusta Mountains HMA at this time. Wild horse populations are estimated to increase at 18-25%. The wild horse population may eventually reach equilibrium by regulating their numbers through periodic elevated mortality rates caused by drought, insufficient forage, water and/or space availability, disease, predation, or a combination of these environmental factors. Or, a management action to reduce herd numbers may be evaluated and implemented at another time. BLM would continue habitat monitoring and obtain census data on wild horse populations within the HMA.

**Table 3. Comparison of Alternative**

<b>Alternative</b>	<b>Gather HMA/ (Outside HMA)</b>	<b>Remove HMA/ (Outside HMA)</b>	<b>Post Gather Population</b>	<b>Data Collection</b>	<b>Fertility Control</b>	<b>Fertility Control Mares Treated</b>
<b>Proposed Action Lower Management Range with Fertility Control</b>	446/(51)	310/(51)	185/(0)	Yes	Yes	82
<b>Alternative I Lower Management Range without Fertility Control</b>	446/(51)	310/(51)	185/(0)	Yes	No	0
<b>Alternative II Upper Management Range with Fertility Control</b>	446/(51)	187/(51)	308/(0)	Yes	Yes	156
<b>Alternative III</b>	446/(51)	187/(51)	308/(0)	Yes	No	0

Upper Management Range without Fertility Control						
Alternative IV No Action	0	0	495/(51)	No	No	0

### III. Affected Environment

Table 4 lists the critical elements of the human environment whose review is mandated by law, regulation, or executive order. Those marked as not affected will not be impacted by the Proposed Action or Alternatives, or are not present in the area.

**Table 4. Critical Elements Checklist**

Critical Element	Present	Affected
Air Quality	Yes	No
Areas of Environmental Concern (ACECs)	No	No
Cultural Resources	Yes	Yes
Environmental Justice	No	No
Floodplains	No	No
Invasive, Non-native Species	Yes	Yes
Migratory Birds	Yes	No
Native American Religious Concerns	No	No
Prime or Unique Farmlands	No	No
Special Status Species	Yes	No
Waste, Hazardous or Solid	No	No
Water Quality (Surface and Ground)	Yes	No
Wetlands and Riparian Zones	Yes	Yes
Wild and Scenic Rivers	No	No
Wilderness (Study Area)	Yes	Yes

#### **A. Wild Horses**

##### **1. HMA Description**

The Augusta Mountains HMA is located approximately 75 miles southeast of Winnemucca, Nevada in Churchill, Lander, and Pershing Counties. It includes all or part of four allotments in three different BLM Districts: Jersey Valley Allotment, Winnemucca District (partially included); Hole In The Wall Allotment, Carson City District (wholly included); Home Station Gap Allotment, Battle Mountain District (wholly included); Cottonwood Allotment, Carson City Allotment (partially included). The HMA encompasses a total of 180,326 acres of public and private lands. Elevations range from approximately 3,625 feet at the valley floors to approximately 8,645 feet at Mt. Moses. Temperatures range from highs of around 105 degrees to lows of -20 degrees. Annual precipitation averages from 4 to 6 inches with a little more falling at

upper elevations. The Dixie and Jersey Valleys border the HMA to the west, the Clan Alpine Mountains and the New Pass Range to the south, Antelope Valley to the east, and the Fish Creek Mountains to the north. The majority of horses in the HMA exhibit a sorrel, bay, or brown color along with some black, dun, buckskin, and pintos.

## 2. Gather History and Population Characteristics

Gathers were conducted in the Augusta Mountains HMA in 1991, 1994, 1997, and 1999. Carson City District conducted the 1991 gather in the Hole In The Wall Allotment portion of the HMA. The gather was a gate cut (all gathered horses removed). Battle Mountain District conducted a selective removal in the Cottonwood Allotment portion of the HMA in 1994; horses 5 years old and younger were removed. In October 1997 Winnemucca District conducted an emergency gather, in the Hole In The Wall Allotment only, to collect blood samples for use in a criminal investigation regarding an alleged violation of the Wild Free-Roaming Horse and Burro Act of 1971 (P.L. 92-195). Only 2 colts were removed as a result of this gather. Winnemucca District conducted a selective removal on the whole HMA in 1999; horses 5 years old and younger were removed. Table 5 shows the number of wild horses that were gathered and the number removed in the 1991, 1994 and 1999 gathers.

**Table 5. Augusta Mountains HMA Gather History**

<b>Year</b>	<b>Number Gathered</b>	<b>Number Removed</b>
1991	479	479
1994	140	62
1997	36	2
1999	604	355

As a result of the age selective removal in 1999, the current wild horse population is anticipated to be made up primarily of younger horses (foals to 4 years of age) and older horses (10 years old and older).

Sex ratio for the gathered population in 1999 was 53% females and 47% males. At the completion of the gather there were 246 horses released with a sex ratio of 49% females and 51% males.

Gather data from 1999 when 604 horses were captured was used to determine animal colors and the approximate frequency of the color within the herd. The frequency of color found during the gather were sorrel (32.5%), bay (19.9%), dun (18%), brown/chestnut (11.3%), black (6.4%), buckskin (4.5%), grulla (2.36%), pinto (2.2%), palomino (1.9%), and roan, red dun, and white constituting less than 1% of the population.

Approximately 30 wild horses were present in the area south of the Echo Bay Mine and west of Highway 305 at the time of the 1999 gather. They were not



then, and never have been gathered before or since the 1999 gather. Using a 15% rate of annual increase, their number is presently estimated at 51. Color frequency and sex ratio are unknown but are presumed to be similar to that of the Augusta Mountains HMA wild horse population.

Table 6 shows the estimated July 2003 wild horse population by allotment within the Augusta Mountains HMA. The population estimate is based on an August 2000 helicopter census, using a 15% rate of annual increase.

**Table 6. Estimated July 2003 Population**

Allotment	Estimated July 2003 Population
Hole In The Wall	140
Home Station Gap	89
Jersey Valley	141
Cottonwood	125
Outside Allotment	51
<b>Total</b>	<b>546</b>

### 3. Genetic Diversity and Viability

Blood samples were collected from release animals during the 1997 (Hole In The Wall Allotment) and the 1999 (whole HMA) gathers to develop genetic baseline data (e.g. genetic diversity, historical origins of the herd unique markers). The samples were analyzed by a geneticist to develop a genetic frequency for the herd. However, there were no other interpretations made from the data. Additional blood samples will be drawn during the proposed gather to establish the current level of genetic diversity for the Augusta Mountains HMA. This data will be incorporated into a Population Management Plan. At this time, there is no evidence to indicate that the Augusta Mountains HMA suffers from reduced genetic fitness. The following summarizes current knowledge of genetic diversity as it pertains to wild horses. To supplement this discussion, please refer to Appendix E, for more information about current knowledge of genetic diversity in wild horse herds.

- Smaller, isolated populations (<200 total census size) are particularly vulnerable when the number of animals participating in breeding drops below a minimum needed level (Coates-Markle, 2000).
- It is possible that small populations will be unable to maintain self-sustaining reproductive ability over the long term, unless there is a natural or management-induced influx of genetic information from neighboring herds. An exchange of only 1-2 breeding age animals per generation would maintain the genetic resources in small populations of about 100 animals, thus obviating the need for larger populations in all cases (Singer, 2000).
- There is little imminent risk of inbreeding since most wild horse herds sampled to date have large amounts of genetic heterozygosity, genetic resources are lost slowly over periods of many generations, wild horses are

long-lived with long generation intervals, and there is little imminent risk of in breeding or population extinction (Singer, 2000).

- Genetic effective population size ( $N_e$ ) is a difficult number to calculate for wild horses, since the calculation is complicated by many factors inherent in wild horse herds. No single universally acceptable formula exists to deal with these complexities, and no standard goal for  $N_e$  or loss of genetic resources currently exists for wild horse herds. A goal of  $N_e=50$  is currently being applied as an estimate for  $N_e$  in wild horse herds (Singer, 2000).
- Current efforts with wild horses suggest management should allow for a 90% probability of maintaining at least 90% of the existing population diversity over the next 200 years (Coates-Markle, 2000).

The following summarizes what is known about the Augusta Mountains HMA as it pertains to genetic diversity:

- The current estimated population for the Augusta Mountains HMA, including Hole In The Wall, Home Station Gap, Jersey Valley, and Cottonwood Allotments, is 495 head.
- Analysis of the 1997 and 1999 genetic frequency data look very similar according to Stormont Laboratories, indicating there is only one distinct breeding populations throughout the entire HMA.
- The HMA is predominately isolated from other herds.
- $N_e$  (genetic effective population size) for Augusta Mountains HMA has not been established.
- Current knowledge is limiting for application of these concepts to wild horse herds managed by the BLM. As more research is completed, and knowledge becomes available, it will be applied to the HMAs managed by the WFO.

## **B. Air Quality**

Air quality within the Augusta Mountains HMA is considered good, and is typical of rural areas within the northern Great Basin.

## **C. Cultural Resources**

A complete inventory of archeological sites in the Augusta Mountains HMA has not been completed. Previous inventories have identified pre-historic and historic sites in the Augusta Mountains HMA. The highest concentration of prehistoric sites is in association with permanent and intermittent water sources.

## D. Wildlife

Wildlife habitat is comprised largely of three generalized plant communities: the salt desert shrub community, found at lower elevations, the Wyoming sagebrush community that occupies middle elevations, and a mountain brush community at higher elevations. Wildlife species found in these habitats vary in abundance and diversity depending on the type and condition of the vegetation. Approximately 300 species of wildlife, including mammals, birds, amphibians, and reptiles are seasonal or yearlong residents.

Within the proposed project area, numerous species of wildlife occur. Mule deer (*Odocoileus hemionus*), pronghorn antelope (*Antilocapra americana*), mountain lions (*Felis concolor*), coyotes (*Canis latrans*), and bobcats (*Lynx rufus*) are the main game and fur bearing species present. Chukar (*Alectoris chukar*), California quail (*Lophortyx californicas*), morning doves (*Zenaida macroura*), and cottontail rabbits (*Sylvilagus sp*) constitute the major upland game species. In addition, a variety of non-game mammals, birds, and reptiles occur in the project area.

## E. Migratory Birds

A migratory bird inventory has not been completed for the SBWC. Common migratory birds which may use the area as habitat include: various song birds, blue birds, nighthawks, swallows, swifts, fly catchers, kingbirds, ravens, dippers, blackbirds, crows, raptors, various waterfowl and shorebirds, snipe, sandpipers, phalaropes, wading birds, hummingbirds, warblers, finches, doves, juncos, wrens, sparrows, killdeer, robins, and meadowlarks.

## F. Special Status Species

There has not been an inventory for candidate or species of concern conducted in the Augusta Mountains HMA area. However, Nevada Natural Heritage (January 2000 to 2003) identified the following sensitive species/proposed sensitive species as occurring in the area of the Augusta Mountains HMA. One species, *Phacelia glaberrima* - (Reese River phacelia) was identified by Nevada Natural Heritage, but given no status.

### BLM Sensitive Species

	<u>Scientific Name</u>	<u>Common Name</u>
Invertebrates:	<i>Pyrgulopsis dixensis</i>	Dixie Valley springsnail

### Proposed BLM Sensitive Species

	<u>Scientific Name</u>	<u>Common Name</u>
Plants:	<i>Penstemon palmerivar macranthus</i>	Lahontan beardtongue

Invertebrates:	<i>Pyrgulopsis augustae</i>	Elongate Cain Spring
spring snail		
	<i>Pyrgulopsis pictilis</i>	Ovate Cain Spring
		spring snail

### G. Invasive, Non-Native Species

Noxious weed surveys, including invasive and non-native species have only been partially completed in the Augusta Mountains Wilderness Study Area and HMA. These surveys indicate that the following state listed noxious weeds occur or are highly likely to occur:

<u>Scientific Name</u>	<u>Common Name</u>	<u>Plant Symbol</u>
<i>Acroptilon repens</i>	Russian Knapweed	ACRREP
<i>Cardaria draba</i>	Hoary Cress	CARDRA
<i>Carduus nutans</i>	Musk Thistle	CARNUT
<i>Cirsium vulgare</i>	Bull Thistle	CIRVUL
<i>Cirsium arvense</i>	Canada Thistle	CIRARV
<i>Lepidium latifolium</i>	Perennial Pepperweed	LEPLAT
<i>Onopordum acanthium</i>	Scotch Thistle	ONOACA
<i>Tamarix ramosissima</i>	Salt Cedar	TAMRAM

These weeds occur in a variety of habitats including road side areas, rights-of-ways, wetland meadows, riparian areas, as well as in undisturbed upland range sites.

### H. Water Quality, Wetlands, and Riparian Zones

Perennial streams and riparian areas are limited within the Augusta Mountains HMA and are generally associated with springs and seeps. They include: Cottonwood Creek, Cedar Canyon, Home Station Wash, Cain Spring, Hess Spring, Hole In The Wall Spring, and several hot and cold springs on the west side of the Augusta Mountains. Severe resource degradation caused by both livestock and wild horses has occurred at some springs within the HMA.

### I. Vegetation

Vegetation varies from salt desert shrub communities at lower elevations, to low and big sagebrush/grass communities at higher elevations. The lower elevations are comprised of salt tolerant plants such as bud sagebrush (*Artemisia spinescens*), shadscale (*Atriplex confertifolia*) and, baileys and black greasewood (*Sarcobatus spp.*). Mid-elevations and alluvial fans consist of Wyoming big sagebrush (*Artemisia tridentate wyomingensis*) or black sagebrush (*Artemisia arbuscula nova*), with an understory of Sandberg's bluegrass (*Poa secunda*), bottlebrush squirreltail (*Sitanion hystrix*), and Thurber's needlegrass (*Stipa thurberiana*). Within the mid and higher elevations, there is an occurrence of Utah juniper

(*Juniperus osteosperma*) and pinyon (*Pinus edulis*). The higher elevation sites are comprised of mountain big sagebrush (*Artemisia tridentate vaseyana*), bluebunch wheatgrass (*Agropyron spicatum*), Idaho fescue (*Festuca idahoensis*), and also support mountain browse species that include serviceberry (*Amelanchier alnifolia*), snowberry (*Symphoricarpos spp.*), and currant (*Ribes spp.*). Riparian areas at mid to higher elevations support quaking aspen (*Populus tremuloides*), cottonwood (*Populus sp.*), and willows (*Salix spp.*).

Drought over the past three years along with forage utilization by both livestock and wild horses, has severely impacted vegetation in much of the HMA, especially in the Jersey Valley Allotment portion of the HMA.

#### **J. Recreation**

Most of the recreation that occurs in this area is of a dispersed type. People enjoy driving their vehicles for pleasure, exploring on horseback inside, and with their four-wheel drives and motorcycles outside, of the WSA, and just enjoy the out-of-doors. They also hunt, rock hound, camp, and picnic. Some do come to the area to look at wild horses. For the most part, there are no specific areas where recreationers congregate.

#### **K. Visual Resources**

The proposed gather would take place in areas rated as Classes I, III, and IV for Visual Resource Management. Class I – Natural ecological changes and very limited management activity are allowed. Any contrast created within the characteristic landscape must not attract attention. This classification is applied to wilderness or wilderness study areas. Class III – Changes to the visual resource should remain subordinate to the visual strength. Class IV – changes may subordinate the visual character but must reflect what could be a natural occurrence.

#### **L. Wilderness/Wilderness Study Area**

The Augusta Mountains Wilderness Study Area (WSA), NV-030-108, is located in the Augusta Mountains HMA. The attached *Project Location* map shows the location of the WSA in relation to the HMA. A notice of proposed action was mailed to wilderness interest groups on July 3, 2003.

### **IV. Environmental Consequences**

The following elements of the human environment are present in the project area and may be affected by the Proposed Action or Alternatives.

#### **A. Wild Horses**

**Actions common to all Alternatives except the No Action Alternative**

## **1. Augusta Mountains HMA Herd Management Range**

The Wild Free-Roaming Horse and Burro Act of 1971 (Public Law 92-195 as amended) states that, all management activities shall be at the minimum feasible level. The minimum feasible level of management would require that removals and other management actions that directly impact the population, such as helicopter census, occur as infrequently as possible (3 to 5 years). To the extent practical, the lower limit of the management range should allow maintenance of a self sustaining population, and the upper limit of the management range must be consistent with the objective of maintaining a thriving natural ecological balance.

The allotment evaluations and multiple use decision processes for the allotments contained within the Augusta Mountains HMA established the level of horses that would result in maintaining a thriving natural ecological balance, which is the upper limit of the management range. The Hole In The Wall, Home Station Gap, and Jersey Valley Allotments FMUD established the upper end of the management range for those allotments at 71 horses, 56 horses and 148 horses respectively. The FMUD for Cottonwood Allotment established the upper end of the management range for wild horses at 33. The lower range would be calculated at 40% below the upper limit of the management range.

Population modeling (Appendix C.) conducted for the Proposed Action and Alternative I (removal to the lower limit of the management range, with and without fertility control) indicate that the lower level of the management range should allow for maintenance of a self-sustaining population. For the Proposed Action, the minimum population size in 5 years found that the lowest number of 0-20+ year old horses ever obtained was 115 head, with a median trial population of 227 head. The average population size in 5 years found that the lowest trail had 235 head, with a median trial population of 325 head. For Alternative I, the minimum population size in 5 years found that the lowest number of 0-20+ year old horses ever obtained was 149 head, with a median trial population of 233 head. The average population size in 5 years found that the lowest trial had 263 head, with a median trial population of 349 head.

Attainment of the lower limit of the management range in the Augusta Mountains HMA would meet the intent of the Wild Free Roaming Horse and Burro Act that all management actions shall be at the minimum feasible level. The following positive impacts for wild horses and their habitat would occur:

- A thriving natural ecological balance would be achieved and maintained by reducing the population to the lower limit of the management range, following a standardized gather cycle.
- Ensure a viable population of wild horses that will survive, and be successful during poor years when elements of the habitat are limiting due to severe winter conditions, drought or other uncontrollable and unforeseeable environmental

influences to the herd. Annual gathers would not be required which would allow for a greater level of herd stability and band integrity.

- Gathers would only occur when the population approaches or exceeds the upper limit of the management range.
- The wild horse population would be subjected to the stresses associated with gathering and handling as infrequently as possible.

If a management range is not established in the Augusta Mountains HMA, the intent of the Wild Free Roaming Horse and Burro Act, that all management actions shall beat the minimum feasible level, would not be met. The following negative impacts would occur:

- A thriving natural ecological balance would not be maintained if yearly gathers to remove the annual increase do not take place. Resource degradation would begin occurring the year following the last gather and increase for each year that a gather is postponed.
- Annual gathers would be required to remove the annual increase in population each year.
- Annual gathers would have more severe impacts to herd stability and band integrity.
- The wild horse population would be subjected to the stress associated with gathering and handling annually. There would be a greater likelihood that more horses would be injured or killed.

## **2. Selective Removal Criteria**

Direct impacts associated with the Proposed Action and Alternatives I, II, or III, would consist of selecting wild horses for release that possess historic characteristics (color pattern, sex ratio) and age structure that are typical of the herd demographics. The National Selective Removal Policy (described in Section II.A.2.) would be followed to the extent possible. Animals selected for release would be the most capable of surviving environmental extremes, thus ensuring a viable population is present in the HMA. As a result of the age selective removals in 1994 and 1999, there will be horses in the four years and younger age class and the ten years and older age class to select for release, which will ensure a more normal age structure population than may result from strict adherence to the National Selective Removal Policy. Utilizing the selective removal criteria would result in a positive impact for the long-term health and stability of the population.

The effect of removal of horses from the population would have a minimal impact on herd population dynamics, age structure, and sex ratio, as long as selection criteria for the removal maintains the social structure and breeding integrity of the herd. The selective removal strategy for the Augusta Mountains HMA would maintain the age structure (of critical breeding age animals), the sex ratio of approximately 50/50, and the historic range of characteristics currently within the herd. This flexible procedure would allow for the correction of any existing

discrepancies in herd dynamics, which might predispose a population to increased chances for catastrophic impacts.

Potential negative impacts to the long-term health and stability of the population could occur from exercising poor selection criteria not based on herd demographics and age structure. These negative impacts would include modification of age or sex ratios to favor a particular class of animal. Effects resulting from successive removals causing shifts in sex ratios away from normal ranges are fairly self-evident. If selection criteria favors studs, band size would be expected to decrease, competition for mares would be expected to increase, and the size and number of bachelor bands would be expected to increase. If selection criteria favors mares, band size would be expected to increase, competition for mares would be expected to decrease, and the size and number of bachelor bands would be expected to be smaller and fewer.

The effects of successive removals on populations causing shifts in herd demographics favoring younger horses (under 15 years) would also have direct consequences on the population. These impacts are not thought of typically as adverse to a population. They include development of a population, which would be expected to be more biologically fit, more reproductively viable, and more capable of enduring stresses associated with traumatic natural and artificial events.

### **3. Gather Operations**

Direct impacts to the wild horses include: handling stress associated with the gathering, processing and transportation of from the gather sites to temporary holding facilities, and from the temporary holding facilities to an adoption preparation facility. The intensity of these impacts varies by individual and is indicated by behaviors ranging from nervous agitation to physical distress. Mortality does occur during a gather, however it is infrequent and typically occurs to no more than one half to one percent of the total animals gathered.

Impacts, which may occur after the initial stress of herding and capture include: spontaneous abortion in mares and increased social displacement/conflict in studs. Spontaneous abortion following capture is rare, depending on the time of year gathered. Traumatic injuries that may occur typically involve bites and/or kicks that result in bruises and minor swelling. These impacts occur intermittently and the frequency of occurrence varies with the individual and situation.

Population-wide impacts can occur during or immediately following a gather. They include the removal of animals from the population; the displacement of bands during capture and the associated re-dispersal; temporary separation of members from individual bands of horses; and re-establishment of bands following release. With the exception of changes to herd demographics, direct population impacts have proven to be temporary in nature with most if not all impacts disappearing within hours to several days of release. No observable effects associated with these



impacts would be expected within one month of release except for a heightened shyness toward human contact. Observations of animals following release have shown horses relocate themselves back to their home ranges within 12 to 24 hours.

All activities would be carried out in accordance with current BLM policy with the intent of conducting as safe and humane a gather as possible. Recommended actions would incorporate proven Standard Operation Procedures (SOPs, Appendix C). SOPs represent the best methods for reducing impacts to animals associated with gathering, handling, transporting and collecting data. In addition, due to several deaths related to myopathy in Augusta Mountains HMA horses at Palomino Valley Corrals (PVC) following the gather in 1999, veterinarian Dr. Richard Sanford wrote the following:

“For the future I believe that BLM should continue to take steps to minimize myopathy deaths in susceptible horses. These actions include:

1. Feed grass hay during times of stress such as after capture prior to transport, and following arrival at feedlots.
2. Electrolyte supplementation in water prior to and after stress of gather or transport.
3. Continued monitoring of travel times and chase distances that horses are subject to during capture and transport.”

#### **4. Data Collection**

Direct impacts associated with data collection involve increased stress levels to the animals as they are restrained in the portable aging chute. Animals selected for blood sampling may become very agitated as samples are drawn. Animal stress levels decrease rapidly once the animal is released from the chute. The collection of data is a positive impact to the long-term management of the population. These data will be used to develop population specific objectives that will help ensure the long-term viability of the population. This procedure is within the intent of Public Law 92-195 as amended.

#### **PROPOSED ACTION AND ALTERNATIVES**

Population modeling was completed for the Proposed Action and Alternatives I through IV. One objective of the modeling was to identify if any of the alternatives “crash” the population or cause extremely low population numbers or growth rates. Modeling results do not indicate a crash is likely to occur under any of the alternatives. Minimum population levels and growth rates were found to be within reasonable levels and adverse impacts to the population are not likely. It is expected that implementation of any alternative would not significantly impact the genetic viability or genetic health of the Augusta Mountains HMA herd. At this time, there is no evidence to indicate that the Augusta Mountain HMA herd would suffer from reduced genetic fitness in any way.

Table 7 displays differences between the Proposed Action and Alternatives I-IV based on the results of the population modeling. This table shows the average population size for the median trial in five years and average growth rate for the median trial in four years following a gather under different alternatives. Refer to Appendix C, Population Modeling, for a complete summary of data and tables obtained from the wild horse population modeling.

**Table 7. Population Modeling: Average Population and Growth Rates**

<b>Alternative</b>	<b>Average Population Size</b>	<b>Average Growth Rate - %</b>
<b>Proposed Action</b> (Lower limit of the management range with fertility control)	325	15.8%
<b>Alternative I</b> (Lower limit of management range without fertility control)	349	19.4%
<b>Alternative II</b> (Upper limit of management range with fertility control)	451	14.6%
<b>Alternative III</b> (Upper limit of management range without fertility control)	494	17.9%
<b>Alternative IV – No Action</b>	766	17.6%

## **PROPOSED ACTION**

### **Removal to the Lower Limit of the Management Range With Fertility Control**

Direct impacts associated with the Proposed Action include potential changes to herd demographics, stress associated with gathering, and the effects from implementing an immunocontraceptive fertility control research project. The effect on herd demographics was discussed in the Selective Removal Criteria section (refer to Section IV.A.2) and the stress associated with gathering would be the same as those discussed under “Gather Operations” (refer to Section IV.A.3).

Implementation of the Proposed Action would likely prevent the wild horse population from increasing beyond the upper limit of the management range (308 head) until 2007. This would allow implementation of a four-year gather cycle to maintain horse numbers within the management range.

Population modeling found that the Proposed Action resulted in the lowest average population size of 325 horses four years after the gather. The average population size for Alternatives I, II, III, and IV were 7.4%, 38.8%, 52%, and 135.7% greater than for the Proposed Action, respectively. Alternative II, which would gather to the upper limit, but also implement fertility control, as does the Proposed Action, modeled the lowest average growth rate of 14.6%. The average growth rates for the Proposed Action and Alternatives

I, III, and IV were 8.2%, 32.9%, 22.6%, and 20.6% greater than Alternative II. Refer to Table 7 for additional details.

**Re: Fertility Control.** Each mare to be released would receive a single-dose of the two-year PZP contraceptive vaccine, as described in Section II. When injected, PZP (antigen) causes the mare's immune system to produce antibodies that bind to her eggs, effectively blocking sperm penetration and fertilization (Zoo Montana, 2000). PZP is relatively inexpensive, meets BLM requirements for safety of mares and the environment, and can easily be administered in the field. PZP contraception appears to be completely reversible and to have no ill effects on ovarian function if mares are not vaccinated for more than 3 consecutive years. PZP will not affect normal development of the fetus, hormone health of the mare, or behavioral responses to stallions should the mare already be pregnant when vaccinated (Kirkpatrick, 1995). Turner (1997) also found that the vaccine has proven to have no apparent effects on pregnancies in progress, the health of offspring, or on the behavior of treated mares. Based on Clan Alpine studies, the PZP two-year vaccine has proven 94% effectiveness in year one, 82% effectiveness in year two, and 32% in year three if mares are inoculated during the winter months. However, administration of this drug in September would only be expected to limit foal production one year. Inoculated mares would foal normally in 2004 and the contraceptive would limit foal production in 2005. Near normal foaling rates would be expected to resume in 2006.

Mares receiving the vaccine would experience slightly increased stress levels from additional handling while being inoculated and freeze marked. There may be some swelling at the injection site following the administration of the fertility control vaccine, but this would be a temporary, short term impact. Injection site injury associated with fertility control is extremely rare in treated mares. Injection of the vaccine would be controlled, handled and administered by a trained BLM employee, researcher or veterinarian. Any direct impacts associated with fertility control are expected to be minor in nature and of short duration. The mares would quickly recover once released.

Syringes, darts, needles, vaccine containers, etc. used in the administration of the immunocontraceptive vaccine are considered regulated medical waste. Regulated medical waste must be placed in leak proof containers that are contained in a red plastic bag labeled medical waste. Medical waste must be handled and transported separately from other waste to an approved disposal facility (WFO Programmatic EA, 1999).

The use of fertility control is not expected to have any long-term direct or indirect impacts to the Augusta Mountains HMA population's genetic health, long-term viability, or future reproductive success of mares within the herd (WFO Programmatic EA, 1999). Implementation of fertility control is expected to improve the health of mares and foals. Results from the population modeling indicate the action would decrease foal production for one year, but would not negatively impact the wild horse population in long-term management.

The Proposed Action would result in more forage being available to wild horses during drought or extreme winters than would be available under Alternatives II or III, which

gather to the upper limit of the management range. Improved condition of mares and foals, as a result of the implementation of fertility control, would aid in the long-term health and viability of the Augusta Mountains HMA wild horse population. Reduced growth rates would occur with the implementation of fertility control, reducing competition for resources and utilization levels of those resources. Reduced growth rates would increase the time interval between gathers, having overall beneficial impacts to wild horse populations, wildlife, and domestic livestock. It would also contribute to the achievement and maintenance of a thriving natural ecological balance. This action would support a vigorous and viable breeding population, reduce stress on vegetative communities and wildlife, and be in compliance with the Wild Free Roaming Horse and Burro Act, the Land Use Plan, and the multiple use management objectives established through the Allotment Evaluations and FMUDs.

## **ALTERNATIVE I**

### **Removal to the Lower Limit of the Management Range Without Fertility Control**

Direct impacts associated with Alternative I include potential changes to herd demographics and stress associated with gathering. The effect on herd demographics was discussed in the Selective Removal Criteria section (refer to Section IV.A.2) and the stress associated with gathering would be the same as those discussed under Gather Operations (refer to Section IV.A.3).

Implementation of Alternative I would likely prevent the wild horse population from increasing beyond the upper limit of the management range (308 head) until 2007. This would allow implementation of a four-year gather cycle to maintain horse numbers within the management range.

Population modeling found that the average population size in four years following the gather for Alternative I was less than Alternatives II, and III, but slightly higher than for the Proposed Action. The average population size for Alternatives II, and III were 29.3% and 41.6% greater than Alternative I, but the Proposed Action was 7% less. The average growth rate for Alternative I is slightly higher than the other non-fertility control, Alternative III. Average growth rates for fertility-control Alternatives (the Proposed Action and Alternative II) were significantly less than this Alternative. Refer to Table 7 for additional details.

The outcome of Alternative I would provide more forage available to wild horses during drought or extreme winters than would be available under Alternatives II or III, which gather to the upper limit of the management range. This action would support a vigorous and viable breeding population, reduce stress on vegetative communities and wildlife, and be in compliance with the Wild Free Roaming Horse and Burro Act, the Land Use Plan, and the multiple use management objectives established through the Allotment Evaluation and FMUD. No fertility control would be administered.

## **ALTERNATIVE II**

### **Removal to the Upper Limit of the Management Range With Fertility Control**

Direct impacts associated with Alternative II include potential changes to herd demographics, stress associated with gathering, and the effects from implementing an immunocontraceptive fertility control research project. The effect on herd demographics was discussed in the "Selective Removal Criteria" section (refer to Section IV.A.2) and the stress associated with gathering would be the same as those discussed under "Gather Operations" (refer to Section IV.A.3). Impacts associated with implementing an immunocontraceptive fertility control research project are the same as discussed in the Proposed Action above.

Implementation of Alternative II involves gathering only to the upper limit of the management range (308 horses). As soon as the gather is completed, mares will foal and the upper limit of the management range will be exceeded almost immediately. Overuse of forage and water resources will resume. Inoculated mares would foal normally in 2004 but the contraceptive would limit foal production in 2005. Near normal foaling rates would be expected to resume in 2006. The population will increase each year (to a lesser degree due to fertility control) until the next gather is scheduled in approximately four years. A thriving natural ecological balance would not be maintained. Resource degradation would include over-utilization of upland and riparian forage resources. Wild horses would contribute to degradation of upland pronghorn antelope, and mule deer forage species. Habitat for the Dixie Valley springsnail, the elongate Cain Spring springsnail and the ovate Cain Spring springsnail would be impacted by trampling and vegetation removal. Reese River phacelia and Lahontan beardtongue would be impacted. Degradation to resources would increase as wild horse numbers increase. This degradation would worsen during years affected by drought or other environmental extremes that cause additional stress to resources or shortages of resources to rangeland users.

Alternative II reflects the lowest average growth rate, as compared to the Proposed Action or Alternatives I, III, or IV, but it has the third highest average population size in 5 years.

The outcome of Alternative II would not ensure the Augusta Mountains HMA would be a successful self-sustaining population of healthy animals in balance with other uses and the productive capacity of the habitat. The herd would be over the upper limit of the management level almost immediately after the action. The wild horse population would be at a higher risk of ill fitness and disease, should elements of the habitat become limited due to drought or winter extremes. Fertility control would be implemented, however herd size would be over AML in the first post gather year.

## **ALTERNATIVE III**

### **Removal to the Upper Limit of the Management Range Without Fertility Control**

Direct impacts associated with Alternative III include potential changes to herd demographics and stress associated with gathering. The effect on herd demographics was discussed in the "Selective Removal Criteria" section (refer to Section IV.A.2) and the stress associated with gathering would be the same as those discussed under "Gather Operations" (refer to Section IV.A.3).

Implementation of Alternative III involves gathering only to the upper limit of the management range (308 horses). As soon as the gather is completed, mares will foal and the upper limit of the management range will be exceeded almost immediately. Overuse of forage and water resources will resume. No fertility control would be administered. The population would increase each year until the next gather is scheduled in approximately four years. A thriving natural ecological balance would not be maintained. Resource degradation would include over-utilization of upland and riparian forage resources. Wild horses would contribute to degradation of upland pronghorn antelope, and mule deer forage species. Habitat for the Dixie Valley springsnail, the elongate Cain Spring springsnail and the ovate Cain Spring springsnail would be impacted by trampling and vegetation removal. Reese River phacelia and Lahontan beardtongue would be impacted. Degradation to resources would increase as wild horse numbers increase. This degradation would be worsened during years affected by drought or other environmental extremes that cause additional stress to resources or shortages of resources to rangeland users.

Population modeling found Alternative III has the second highest average population sizes in 5 years, and the second highest average growth rate as compared to Alternatives I, II, and IV. Refer to Table 7 for additional details.

The outcome of Alternative III would not ensure the Augusta Mountains HMA would be a successful self-sustaining population of healthy animals in balance with other uses and the productive capacity of the habitat. The herd would be over the upper limit of the management level almost immediately after the action. The wild horse population would be at a higher risk of ill fitness and disease should elements of the habitat become limited due to drought or winter extremes. No fertility control would be implemented.

## **ALTERNATIVE IV**

### **No Action**

Direct impacts associated with Alternative IV include potential changes to herd demographics and stress associated with overpopulation and habitat degradation. The current population of 495 wild horses would continue to increase and exceed the carrying capacity of the range. Though it may require many years for the population to reach catastrophic levels, Alternative IV poses the greatest risk to the long-term health and viability of the Augusta Mountains HMA wild horse population, wildlife populations, vegetative health, habitat conditions, and water resources.

Implementation of Alternative IV would maximize competition for available water, forage resources, and space by wild horses and burros. Animals would move out of the Augusta

Mountains HMA into unmanaged areas. The areas closest to water sources would experience severe utilization and degradation of the range resource. Over the course of time, animals would deteriorate in condition as a result of declining forage availability and the increasing distance traveled between forage and water sources. Mares and foals would be affected most severely. The continued increase in population would eventually lead to catastrophic losses to the herd, as a result of extreme degradation to the available forage, water, and habitat. Additionally sensitive springsnails and vegetation would be impacted and degraded. A point would be reached where the herd would surpass the ecological carrying capacity and both the habitat and the wild horse population would become critically unhealthy. Irreparable damage to the resources, which would include primarily vegetative, soil and riparian resources, would have obvious impacts to the future of the Augusta Mountains HMA and all other uses of the resources, which depend upon them for survival.

Population modeling found Alternative IV, No Action, had the highest average population size in 5 years. The Average Median Trial reported a potential wild horse population of almost 800 animals in 2007. This number is almost 260% over AML for the Augusta Mountains HMA. The average growth rate for this Alternative falls between the fertility (the Proposed Action and Alternative II) and non-fertility (I and III) Alternatives. Refer to Table 7 for additional details.

The outcome of Alternative IV would not ensure the Augusta Mountains HMA would be a successful self-sustaining population of healthy animals in balance with other uses and the productive capacity of the habitat. The wild horse population would be at a higher risk of ill fitness and disease should elements of the habitat become limiting due to drought or winter extremes. No gather action or fertility control would be implemented at this time.

## **B. Air Quality**

Direct impacts associated with the Proposed Action and Alternatives I, II, or III, would consist of an increase in dust as wild horses are herded to temporary gather site(s) and transported by stock trailer(s) to a temporary holding facility. Dust caused by a concentration of animals at the temporary gather site(s) and at the temporary holding facility would be controlled by watering the areas as needed, to keep dust to a minimum. In addition, there would be an increase in vehicle traffic as excess wild horses are transported from the temporary holding site to a BLM adoption preparation/holding facility. These impacts would be temporary, with a short duration, and minimal. No direct or indirect impacts would occur with Alternative IV.

## **C. Cultural Resources**

Direct impacts to cultural resources are not anticipated to occur due to implementation of the Proposed Action or any of the other action Alternatives (I-III) because gather sites and temporary holding facilities would be inventoried for cultural resources prior to construction. The WFO archeologist would review all proposed and previously used gather sites and temporary holding facility locations to determine if these have had a cultural

resources inventory and/or if a new inventory is required. If cultural resources are encountered at proposed gather sites or temporary holding facilities, these locations would not be utilized unless they could be modified to avoid impacts. No direct impacts are associated with Alternative V.

Indirect impacts to cultural resources occur from increased erosion and from trampling damage in areas where there are concentrations of animals. Adverse impacts to cultural resource sites from overgrazing and trampling include modification and displacement of artifacts and features as well as erosion of organic middens containing valuable information. Areas in the vicinity of permanent and intermittent water sources (i.e., riparian areas) have the highest potential for cultural resource sites. Since wild horses concentrate in these areas, these areas are most likely to be impacted by trampling and erosion. Indirect impacts associated with all the alternative would be related to wild horse population size. Impacts would be the least with implementation of the Proposed Action. Impacts would be anticipated to increase with each successive alternative with Alternative IV being likely to have the most impacts.

#### **D. Wildlife**

Direct impacts associated with the Proposed Action and Alternatives I, II, or III, would consist primarily of disturbance and displacement to wildlife by the low-flying helicopter. Typically, the natural survival instinct to this type of disturbance results in fleeing from the perceived danger. Some mammals, reptiles, and birds may be temporarily displaced by the construction and use of temporary gather sites and holding facilities. These impacts would be temporary, with short duration, and minimal. A slight possibility exists that non-mobile or site-specific animals would be trampled. No direct impacts are associated with Alternative IV.

Indirect impacts for all alternatives would be related to population size. Population modeling completed for the alternatives found that the average median population size progressively increased from the Proposed Action (lowest average population) thru Alternative IV, No Action (highest average population). A reduction in the number of wild horses from current levels would decrease competition for available cover, space, forage, and water. A reduction in forage utilization levels and hoof action around un-improved springs would improve stream bank stability and riparian habitat condition. Reduced utilization levels should allow for increased plant vigor, seed production, and seedling establishment thereby supporting the ecological health of the habitat. Implementation of the Proposed Action and Alternative I would provide the opportunity for the greatest improvement of habitat and reduced competition for cover, space, forage, and water, which would positively affect wildlife. The opportunity for habitat improvement and reduced competition for cover, space, forage, and water decreases for each successive alternative. Implementation of Alternative IV (No Action) would cause the greatest impacts to habitat and contribute to intense competition for cover, space, forage, and water. Impacts would increase each year that a gather is postponed, which would negatively impact ecological condition, wildlife populations, livestock production, and other resource values.



## **E. Migratory Birds**

None of the Alternatives would directly impact migratory bird populations with the exception of possible displacement from small areas of their habitat. This impact would be minimal, temporary, and short-term in nature.

Indirect impacts would be related to the wild horse/burro population size. Reduction of the current populations provides the opportunity for vegetative communities to progress toward achieving a thriving natural ecological balance. Implementation of the Proposed Alternative or Alternative I would result in a positive impact to migratory birds by creating a diverse vegetative structure through improvement and maintenance of healthy populations of native perennial plants. Implementation of the Proposed Action would provide the greatest opportunity for the improvement of vegetative communities. Implementation of Alternative II or III would not be as likely to support healthy populations of native perennial plants. The opportunity for improvement decreases for each successive Alternative. Implementation of Alternative IV (No Action) would allow impacts to vegetative communities to increase each year that a gather is postponed, which would be a potential negative impact to migratory bird habitat.

## **F. Special Status Species**

The potential direct and indirect impacts associated with the Proposed Action and Alternatives I-IV would be related to the wild horse population size. Reduction of the current wild horse population provides the best opportunity for conservation, protection, and preservation of the two Cain Spring springsnail populations, the Dixie Valley springsnail, their habitats, the Reese River phacelia, and the Lahontan beardtongue. Implementation of the Proposed Action and Alternatives I, II, or III would result in a positive impact to the springsnails and their habitat and the two identified plant species. Implementation of the Proposed Action would provide the greatest opportunity for the conservation, protection, and preservation of these all the identified species and their habitats. The opportunity for improvement decreases for each successive Alternative. Implementation of Alternative IV (No Action) would allow potentially negative impacts to the identified species and their habitats to increase each year that a gather is postponed.

## **G. Invasive Non-Native Species**

Direct impacts associated with the Proposed Action and Alternatives I, II, or III include the potential to import or transport non-native species (noxious weeds) and/or spread existing noxious weed seeds and plant parts to new areas in the Augusta Mountains HMA outside the WSA. These impacts would potentially occur if contractor vehicles are carrying noxious weed seeds and plant parts when they arrive on site or they drive through existing infestations and spread seed into previously weed free areas or if they feed contract horses contaminated hay before arriving on site and the seeds pass through the horses' digestive system. Feeding contaminated hay to wild horses, which are released before the seeds pass through their digestive system, could also spread noxious weeds. There are no direct impacts associated with Alternative IV.

Indirect impacts would be related to population size associated with which alternative, the Proposed Action or Alternatives I through IV, was implemented. They would include the potential increase in noxious weeds from increasing utilization levels and ground disturbance. Noxious weeds can increase with overuse of the range by grazing animals or through surface disturbance. Maintenance of healthy populations of native perennial plant species minimizes the establishment of invasive, non-native weeds. Implementation of the Proposed Action, would provide the greatest opportunity for healthy plant communities and thus provide the lowest potential for invasive non-native species. The opportunity for improvement decreases for each successive Alternative. Implementation of Alternative IV (No Action) would provide the highest potential for species to invade due to degraded native vegetative populations.

#### **H. Water Quality, Wetlands and Riparian Zones**

There are no direct impacts associated with Alternative I through IV concerning water quality, wetlands or riparian zones within the project area, with the exception of some wild horses crossing streams or springs as they are herded to temporary gather sites. This impact would be temporary and relatively short term in nature. There are no direct impacts associated with Alternative IV.

Indirect impacts for the Proposed Action and Alternatives I through IV would be related to wild horse population size. Population modeling completed for the Alternatives found that the average median population size increased from the Proposed Action (lowest herd population) through Alternative IV (highest herd population). Reduction of the population from current levels would decrease competition for available water sources, which should lead to a reduction in hoof action around unimproved springs, improvement in stream bank stability, and improved riparian habitat condition. Implementation of the Proposed Action would provide opportunity for the greatest improvement of riparian habitats and water quality. The opportunity for improvement decreases for each successive Alternative. Implementation of Alternative IV (No Action) would allow degradation to riparian habitats and water quality to increase each year that a gather is postponed.

#### **I. Vegetation**

Direct impacts associated with the Proposed Action and Alternatives I, II, or III would consist of disturbance to vegetation and soils immediately in and around the temporary gather site(s) and holding facilities. Impacts would be created by vehicle traffic, hoof action as a result of concentrating horses, and could be locally severe in the immediate vicinity of the gather sites and holding facilities. Generally, these sites would be small (less than one half acre) in size. Any impacts would remain site specific and isolated in nature. In addition, most gather sites and holding facilities would be selected to enable easy access by transportation vehicles and logistical support equipment. Normally, they are located near or on roads, pullouts, water haul sites, or other flat areas, which have been previously disturbed. These common practices would minimize the cumulative effects of these impacts.

Indirect impacts would differ among the Alternatives. Implementation of the Proposed Action or Alternatives I, II, or III would reduce the current wild horse population and provide the opportunity for vegetative communities to progress toward achieving a thriving natural ecological balance. Reduced concentrations of wild horses would contribute to the recovery of the vegetative resource. Forage utilization levels would be reduced which would result in improved forage availability, vegetation density, increased plant vigor, seed production, seedling establishment, and forage production over current conditions.

Population modeling completed for the Proposed Action and Alternative I (lower limit of the management range with and without fertility control) found that the average median population size in 5 years is predicted to be 325 and 349 wild horses, respectively. The greatest opportunity for a positive impact to vegetation and soils would be provided by implementing the Proposed Action or Alternative I.

Population modeling completed for Alternative II and III (upper limit of the management range with and without fertility control) found that the average median population size in 5 years is predicted to be 451 and 494 wild horses, respectively. Implementation of either of these two Alternatives would initially provide the opportunity for the vegetative communities to progress toward achieving a thriving natural ecological balance. However, wild horses would exceed their carrying capacity the year following the proposed gather.

Implementation of Alternative IV (No Action) would allow herd populations to continue to grow. Animal impacts to vegetation and soils would increase each year the gather is postponed. Utilization levels would exceed objectives and progression toward achieving a thriving natural ecological balance would not be possible.

## **J. Recreation**

There would be little, if any, direct or indirect impacts upon the recreation resource or to recreation users from implementation of the Proposed Action or Alternatives I through III. The area is of such a large size that if any recreationist happened upon a gather, that individual could very easily move to a similar location with little difficulty. There might need to be persons present, warning visitors of the on-going action so they would not interfere. If a motorcycle race were to occur at that time, it should be fairly easy to move the site of the gather for that weekend so they do not overlap. However, individuals who come to look at the wild horses may find it more difficult to do since there would be fewer animals.

There would be no direct or indirect impact upon the recreation resource by implementation of Alternative IV.

## **K. Visual Resources**

There would be no direct or indirect impacts upon the visual resource from any of the alternatives (Proposed Action – Alternative IV). The action would be temporary and would not have any impact upon the basic characteristics of form, line color or texture.

## **L. Wilderness/Wilderness Study Area**

The proposed action or alternatives would not directly impact wilderness study area values within the project area, with the exception of the sight and noise of the helicopter used to herd wild horses to gather sites located outside of wilderness study area. During the time frame of the proposed gather, solitude and primitive recreation may be negatively impacted for recreationists who may be subjected to the sight and sound of the helicopter. This impact would be temporary and relatively short term in nature.

Indirect impacts would be related to population size. Population modeling completed for the Proposed Action and Alternatives found that the average median population size progressively increased from the Proposed Action (lowest number) thru Alternative IV, No Action (highest number). Reduction of the population from current levels would decrease competition for available forage and water sources, which should lead to a reduction in utilization levels and a reduction in hoof action around unimproved springs, improvement in stream bank stability, and improved riparian habitat condition. Implementation of the Proposed Action would provide the opportunity for the greatest improvement of habitats and water quality, which would positively affect wilderness values. The opportunity for improvement decreases for each successive alternative. Implementation of Alternative IV (No Action) would allow impacts to habitats and water quality to increase each year that a gather is postponed, which would negatively impact wilderness study area values.

## **V. Cumulative Impacts**

Cumulative impacts are impacts on the environment, which result from the incremental impact of the action when added to other past, present, and reasonably foreseeable future actions regardless of what agency or person undertakes such other actions. Cumulative impacts can result from individually minor but collectively major or problematic actions taking place over a period of time.

The area affected by the Alternatives is the Augusta Mountains HMA (Refer to the *Project Location* map). Past, proposed, and reasonably foreseeable actions that may have similar effects to the wild horse population would include past and future wild horse gathers. Four gathers have been completed in the past and future gathers would be scheduled on a 4-5 year gather cycle. As the wild horse population level is maintained in an acceptable management range, a thriving natural ecological balance would be achieved and maintained. Cumulative effects that may result would include continued improvement of range and riparian/wetland conditions. Cumulative beneficial effects from implementation of a gather Alternative to wildlife, the wild horse population, and domestic livestock would occur as forage availability and quality is maintained and improved. Water quality and

riparian habitat would also continually improve. The opportunity for cumulative beneficial effects decreases for each successive alternative (the Proposed Action through Alternative IV).

Adverse cumulative impacts on natural resources would occur by degree depending on which alternative is selected. In general, adverse cumulative impacts increase for each successive alternative (the Proposed Action through Alternative IV) since the modeled wild horse population is higher for each alternative. Adverse cumulative impacts would include periodic over-utilization of vegetative resources resulting in decreased vegetative density, plant vigor, seed production, seedling establishment, and forage production. This may result in periodic decreases of the ecological status of plant communities.

Adverse cumulative impacts on natural resources for Alternative IV, No Action, would include continued heavy over-utilization of vegetative resources which would result in decreased vegetative density, plant vigor, seed production, seedling establishment, and forage production. A potential increase of non-native species in new areas of the Augusta Mountains HMA may result. Continued overuse of the vegetative community would result in a loss of ecological status of the plant communities, which may take decades to restore. Decreased vegetative density would result in an increase of bare ground, which may lead to increased erosion and increased negative impacts to stream banks and riparian habitat condition. Wildlife, migratory birds, livestock, and wild horses would all be negatively affected by these adverse cumulative impacts to the natural resources.

Based upon these considerations, the effects of other existing and reasonably foreseeable future activities including the Proposed Action and Alternatives I, II, or III would not cause a major affect to the environment. Alternative IV, No Action, may cause a greater impact to the environment depending on how long a gather is deferred.

There would be no known adverse cumulative impacts to any of the resources analyzed in this document as a result of the Proposed Action or Alternative I. There would be minor adverse cumulative impacts to vegetation, soils, and riparian habitat from implementing Alternatives II or III due to increased wild horse and burro populations. Adverse cumulative impacts to vegetation, soils, and riparian habitat would occur from Alternative IV, No Action.

## **VI. Consultation and Coordination**

Humboldt County Commissioners  
Nevada State Clearing House  
Nevada Commission for the Preservation of Wild Horses

Additionally, this Gather Plan and Environmental Assessment is being sent out to individuals and organizations on the interested public mailing list for review and comment.

## VII. List of Preparers

Nadine Paine	Wildlife Biologist (Wild Horse and Burro Specialist)
Rodger Bryan	Supervisory Wildlife Biologist and Wild Horse and Burro Specialist
Jeff Johnson	Environmental Coordinator
Peggy McGuckian	Archaeologist
Clarence Covert	Wildlife Biologist
Lynn Clemons	Wilderness and Recreation Specialist
Scott Clarke	Rangeland Management Specialist (Range)
Chuck Neill	Rangeland Management Specialist (Weeds)
Mike Zielinski	Soil Scientist

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## Appendix A

### Northeastern Great Basin Area Standards and Guidelines

The Nevada State Director approved the following Standards and Guidelines December 14, 2000.

#### STANDARD 1. UPLAND SITES:

Upland soils exhibit infiltration and permeability rates that are appropriate to soil type, climate and land form.

As indicated by:

*Indicators are canopy and ground cover, including litter, live vegetation and rock, appropriate to the potential of the site.*

#### GUIDELINES:

- 1.1 *Livestock grazing management and wild horse and burro population levels are appropriate when in combination with other multiple uses they maintain or promote upland vegetation and other organisms and provide for infiltration and permeability rates, soil moisture storage, and soil stability appropriate to the ecological site within management units.*
- 1.2 *When livestock grazing management and wild horse and burro herd management alone are not likely to restore areas of low infiltration or permeability, land management treatments should be designed and implemented where appropriate.*
- 1.3 *Livestock grazing management and wild horse and burro herd management are adequate when significant progress is being made toward this standard.*

#### STANDARD 2. RIPARIAN AND WETLAND SITES:

Riparian and wetland areas exhibit a properly functioning condition and achieve state water quality criteria.

As indicated by:

*Stream side riparian areas are functioning properly when adequate vegetation, large woody debris, or rock is present to dissipate stream energy associated with high water flows. Elements indicating proper functioning condition such as avoiding accelerating erosion, capturing sediment, and providing for*

*groundwater recharge and release are determined by the following measurements as appropriate to the site characteristics.*

*Width/Depth ratio; Channel roughness; Sinuosity of stream channel; Bank stability; Vegetative cover (amount, spacing, life form); and Other cover (large woody debris, rock).*

*Natural springs, seeps, and marsh areas are functioning properly when adequate vegetation is present to facilitate water retention, filtering, and release as indicated by plant species and cover appropriate to the site characteristics.*

*Chemical, physical and biological water constituents are not exceeding the state water quality standards.*

**GUIDELINES:**

- 2.1 Livestock grazing management and wild horse and burro population levels will maintain or promote sufficient vegetation cover, large woody debris, or rock to achieve proper functioning condition in riparian and wetland areas. Supporting the processes of energy dissipation, sediment capture, groundwater recharge, and stream bank stability will thus promote stream channel morphology (e.g., width/depth ration, channel roughness, and sinuosity) appropriate to climate, landform, gradient, and erosional history.*
- 2.2 Where livestock grazing management and wild horse and burro herd management are not likely to restore riparian and wetland sites, land management treatments should be designed and implemented where appropriate to the site.*
- 2.3 Livestock grazing management and wild horse and burro herd management will maintain, restore or enhance water quality and ensure the attainment of water quality that meets or exceeds state standards.*
- 2.4 Livestock grazing management and wild horse and burro herd management are adequate when significant progress is being made toward this standard.*

**STANDARD 3. HABITAT:**

Habitats exhibit a healthy, productive, and diverse population of native and/or desirable plant species, appropriate to the site characteristics, to provide suitable feed, water, cover and living space for animal species and maintain ecological processes. Habitat conditions meet the life cycle requirements of threatened and endangered species.



As indicated by:

*Vegetation composition (relative abundance of species);*

*Vegetation structure (life forms, cover, heights, or age classes);*

*Vegetation distribution (patchiness, corridors);*

*Vegetation productivity; and Vegetation nutritional value.*

**GUIDELINES:**

- 3.1 *Livestock grazing management and wild horse and burro population levels will promote the conservation, restoration and maintenance of habitat for threatened and endangered species, and other special status species as may be appropriate.*
- 3.2 *Livestock grazing intensity, frequency, season of use and distribution and wild horse and burro population levels should provide for growth and reproduction of those plant species needed to reach long-term land use plan objectives. Measurements of ecological condition and trend/utilization will be in accordance with techniques identified in the Nevada Rangeland Monitoring Handbook.*
- 3.3 *Livestock grazing management and wild horse and burro management should be planned and implemented to allow for integrated use by domestic livestock, wildlife, and wild horses and burros consistent with land use plan objectives.*
- 3.4 *Where livestock grazing management and wild horse and burro herd management alone are not likely to achieve habitat objectives, land treatments may be designed and implemented as appropriate.*
- 3.5 *When native plant species adapted to the site are available in sufficient quantities, and it is economically and biologically feasible to establish or increase them to meet management objectives, they will be emphasized over non-native species.*
- 3.6 *Livestock grazing management and wild horse and burro herd management are adequate when significant progress is being made toward this standard.*

#### **STANDARD 4. CULTURAL RESOURCES:**

Land use plans will recognize cultural resources within the context of multiple use.

#### **GUIDELINES:**

- 4.1 *Rangeland management plans will consider listings of known sites that are National Historic Register eligible or considered to be of cultural significance and new eligible sites as they become known.*
- 4.2 *Wild horse and burro herd management will be designated to avoid or mitigate damage to significant cultural resources.*

#### **STANDARD 5. HEALTHY WILD HORSE AND BURRO POPULATIONS:**

Wild horses and burros exhibit characteristics of a healthy, productive, and diverse population. Age structure and sex ratios are appropriate to maintain the long term viability of the population as a distinct group. Herd management areas are able to provide suitable feed, water, cover and living space for wild horses and burros and maintain historic patterns of habitat use.

As indicated by:

*Healthy rangelands that provide sufficient quantities and quality of forage and water to sustain the appropriate management level on a year long basis within a herd management area.*

*Wild horses and/or burros managed on a year-long basis for a condition class greater than or equal to five to allow them normal chances for survival in the winter (see glossary for equine body conditioning definitions).*

*Highly adoptable wild horses and burros that are readily available from herd management areas.*

*Wild horse and burro herds that exhibit appropriate age structure and sex ratio for short- and long-term genetic and reproductive health.*

#### **GUIDELINES:**

- 5.1 *Implement the objectives outlined in the Wild Free-Roaming Horses and Burros Tactical Plan for Nevada (May 1999).*
- 5.2 *Manage for wild horses and/or burros in herd management areas based on the capability of the HMA to provide suitable feed, water, cover and*

*living space for all multiple uses. 5.3 Set appropriate Management Levels based on the most limiting habitat factor (e.g. available water, suitable forage, living space and cover) in the context of multiple use.*

- 5.4 Manage herd management area populations to preserve and enhance physical and biological characteristics that are of historical significance to the herd.*
- 5.5 Manage wild horse and burro herds for short- and long-term increases and to enhance adoptability by ensuring that wild horses and burros displaying desirable traits are preserved in the herd thus providing a reproductive base to increase highly adoptable horses and burros for future demands.*
- 5.6 Identify and preserve historic traits and characteristics within the herd which have proven to be highly desirable by the adoption public to increase the long-term availability of animals bearing these features.*
- 5.7 Wild horse and burro selective removal criteria are modified on a per herd basis to correct deficiencies in population age and sex rations which threaten short- and long-term genetic diversity and reproductive health.*

## **Appendix B**

### **Sierra Front – Northwestern Great Basin Area**

#### **PREAMBLE**

The Standards and Guidelines for livestock grazing on Bureau of Land Management lands are written to accomplish the four fundamentals of rangeland health, insofar as they are affected by livestock grazing practices. Those fundamentals are:

- Watersheds are properly functioning;
- Ecological processes are in order;
- Water quality complies with State Standards; and
- Habitats of protected species are in order.

Other uses can affect the health of the land, and Guidelines for these currently exist or will be developed as needed. In addition, implementation of livestock grazing guidelines must be coordinated with other uses of the land, and collectively these uses should not detract from the goal of achieving public land health.

Standards, Indicators and Guidelines will be implemented through Standard public land management practices as defined in the Nevada Rangeland Monitoring Handbook and the other documents listed in Appendix A [of this appendix].

**Standards:** The goal to be achieved.

**Indicators:** Indicators are observations or measurements of physical, chemical or biological factors that should be used to evaluate site conditions or trends, appropriate to the potential of the site. Indicators assist in determining whether Standards are met or Guidelines followed.

**Guidelines:** Guidelines are livestock management practices (e.g., tools, methods, strategies and techniques) designed to achieve healthy public lands as defined by Standards and portrayed by Indicators. Guidelines are designed to provide direction, yet offer flexibility for local implementation through activity plans and grazing permits. Activity plans may add specificity to the Guidelines based on local goals and objectives as provided for in adopted manuals, handbooks and policy. Not all Guidelines fit all circumstances. Monitoring and site specific evaluation will determine if the Standards are being met or the trend on a particular site is toward desired objectives, and if the correct Guidelines are being applied. The BLM Authorized Officer, in consultation with public land users, will identify and document acceptable or unavoidable exceptions on a case-by-case basis.

## **STANDARDS FOR RANGELAND HEALTH**

### **STANDARD 1. SOILS:**

Soil processes will be appropriate to soil types, climate and land form.

As indicated by:

- Surface litter is appropriate to the potential of the site;
- Soil crusting formations in shrub interspaces, and soil compaction are minimal or not in evidence, allowing for appropriate infiltration of water;
- Hydrologic cycle, nutrient cycle and energy flow are adequate for the vegetative communities;
- Plant communities are diverse and vigorous, and there is evidence of recruitment;  
and
- Basal and canopy cover (vegetative) is appropriate for site potential.

### **STANDARD 2. RIPARIAN/WETLANDS:**

Riparian/Wetland systems are in properly functioning condition.

As indicated by:

- Sinuosity, width/depth ratio and gradient are adequate to dissipate streamflow without excessive erosion or deposition;
- Riparian vegetation is adequate to dissipate high flow energy and protect banks from excessive erosion; and
- Plant species diversity is appropriate to riparian-wetland systems.

### **STANDARD 3. WATER QUALITY:**

Water quality criteria in Nevada or California State Law shall be achieved or maintained.

As indicated by:

- Chemical constituents do not exceed the water quality Standards;
- Physical constituents do not exceed the water quality Standards;

- Biological constituents do not exceed the water quality Standards; and
- The water quality of all water bodies, including ground water located on or influenced by BLM lands will meet or exceed the applicable Nevada or California water quality Standards. Water quality Standards for surface and ground waters include the designated beneficial uses, numeric criteria, narrative criteria, and antidegradation requirements set forth under State law, and as found in Section 303(c) of the Clean Water Act.

**STANDARD 4. PLANT AND ANIMAL HABITAT:**

Populations and communities of native plant species and habitats for native animal species are healthy, productive and diverse.

As indicated by:

- Good representation of life forms and numbers of species;
- Good diversity of height, size, and distribution of plants;
- Number of wood stalks, seed stalks, and seed production adequate for stand maintenance; and
- Vegetative mosaic, vegetative corridors for wildlife, and minimal habitat fragmentation.

**STANDARD 5. SPECIAL STATUS SPECIES HABITAT:**

Habitat conditions meet the life cycle requirements of special status species.

As indicated by:

- Habitat areas are large enough to support viable populations of special status species;
- Special status plant and animal numbers and ages appear to ensure stable populations;
- Good diversity of height, size, and distribution of plants;
- Number of wood stalks, seed stalks, and seed production adequate for stand maintenance; and
- Vegetative mosaic, vegetative corridors for wildlife, and minimal habitat fragmentation.

**GUIDELINES FOR GRAZING MANAGEMENT:**

1. Waters must be free from high temperature, biocides, organisms pathogenic to human beings, toxic, corrosive or other deleterious substances attributable to domestic or industrial waste or other controllable sources at levels or combinations to interfere with any beneficial use of the water. Compliance with the provisions of this subsection may be determined in accordance with methods of testing prescribed by the State. If used as an Indicator, survival of test organisms must not be significantly less in test water than in control water.

2. Grazing management practices should be planned and implemented to meet water quality provisions in either California State water law or Nevada Administrative Code Section 445A.120-121 as applicable.

3. Management practices within allotments will maintain or promote stream channel morphology, appropriate soil organisms; adequate amounts of ground cover to support infiltration, maintain soil moisture storage, and stabilize soils; and the hydrologic cycle, nutrient cycle and energy flow.

4. After a range fire or other natural catastrophic event, vegetation should be returned to the native species as rapidly as possible, to afford forage and habitat for native animals. If a nurse crop is needed to protect the land from erosion, all native nurse crops should be used first.

5. Treated areas will be rested from livestock grazing for two growing seasons or until seedlings are established or the vegetative response has achieved objective levels. Wild horse and burros removed from Herd Management Areas will be restored after rehabilitation objectives have been met.

6. Alternative solutions (e.g., reseeding, funding, labor, equipment use or rental) to facilitate fire rehabilitation may be included in cooperative agreements involving qualified groups and individuals who want to participate.

7. Appropriate livestock grazing treatments will be implemented to control the frequency, duration, and level of grazing use. Where livestock grazing is authorized, grazing systems will provide within any one grazing year one or more of the following treatments:

a. Rest or deferment from livestock grazing on a specified area as appropriate to meet Standards.

b. Systematic rotation of deferred use and/or rest from livestock grazing among two or more units.

c. Continuous, season-long use where it has been demonstrated to be consistent with achieving identified Standards. Once season long use is determined to be unacceptable,

an alternative system will be developed and implemented before termination of season long use, prior to the next grazing season.

d. Excluding further livestock grazing within the affected use area through appropriate techniques when utilization objectives are reached.

8. Conservation of Federal threatened or endangered, proposed, species of concern (formally Category One and Two) and other special status species is promoted by the restoration and maintenance of their habitats.

9. Salt and/or supplements will be placed at least ¼ mile from live waters (springs/streams) and outside of associated riparian areas, permanent livestock watering facilities, wet or dry meadows, and aspen stands. Also salt should not be placed in known historic properties.

10. Night bedding of sheep will be located at least ¼ mile from live waters, streams, springs, seeps, associated riparian areas, wet or dry meadows, and aspen stands.

11. Encourage the use of prescribed and natural fires, meeting prescription objectives, for the restoration and maintenance of healthy rangelands.

12. Departure from traditional grazing management practices may be authorized by BLM to achieve Standards on a case by case experimental basis for rangeland restoration and rehabilitation.

13. The best available science and technology will be utilized in monitoring and assessing the condition of rangelands from the pasture to the BLM District level.

14. Recognizing State Water Law requirements, wildlife and wild horses/burros within their Herd Management Areas will have access to surface water they customarily use.

15. Design of water facilities will incorporate features to ensure safe access and escape for small animals and birds.

16. The development of springs and seeps or other projects affecting water and associated resources shall be designed to maintain the associated riparian area and assure the attainment of Standards.

17. Grazing management practices shall be planned and implemented to allow for habitat requirements of wildlife and wild horses and burros within Herd Management Areas.

18. Implement aggressive action to reduce the invasion of exotic plant species into native plant communities. Control the spread of noxious weeds through various methods such as, grazing management, fire management and other vegetative management practices.



19. Riparian structural developments (i.e., gabions, dams, etc.) designed to achieve improvement in riparian and wetland conditions shall only be implemented in conjunction with changes in existing grazing management practices, where grazing is a significant factor contributing to a riparian condition needing such attention. Where grazing is not a significant factor causing a riparian condition needing attention, structural developments designed to achieve improvement in riparian and wetland conditions may be implemented independent of changes in existing grazing management practices.

20. The utilization, monitoring and evaluation process will be used as a tool to promote healthy rangelands and achieve Standards.

21. Implement grazing management practices that sustain biological diversity across the landscape.

22. To prevent transmission of disease between domestic and bighorn sheep, adopt and implement the "Guidelines for Domestic Sheep Management in Bighorn Sheep Habitats" contained in Mountain Sheep Ecosystem Management Strategy in the 11 Western States and Alaska.

23. Rangeland management plans will consider listings of known historic properties and new eligible properties as they become known.

## **Appendix C**

### **Population Modeling**

#### **Population Model Overview**

WinEquus is a computer software program designed to simulate population dynamics based on various management alternatives concerning wild horses. It was developed by Stephen H. Jenkins of the Department of Biology, University of Nevada at Reno. For further information about the model, please contact Stephen H. Jenkins at the Department of Biology/314, University of Nevada, Reno, NV 89557.

The following data was summarized from the information provided within the WinEquus program. It will provide background about the use of the model, the management options that may be used, interpretation of modeling results, and the types of output that may be generated.

The population model for wild horses was designed to help wild horse and burro specialists evaluate various management strategies that might be considered for a particular area. The model uses data on average survival probabilities and foaling rates of horses to project population growth for up to 20 years. The model accounts for year-to-year variation in these demographic parameters by using a randomization process to select survival probabilities and foaling rates for each age class from a distribution of values based on these averages. This aspect of population dynamics is called environmental stochasticity, and reflects the fact that future environmental conditions that may affect a wild horse population's demographics cannot be established in advance. Therefore, each trial will give a different pattern of population growth. Some trials may include mostly "good" years, when the population grows rapidly; other trials may include a series of several "bad" years in succession. The stochastic approach to population modeling uses repeated trials to project a range of possible population trajectories over a period of years, which is more realistic than predicting a single specific trajectory.

The model incorporates both selective removal and fertility treatment as management strategies. A simulation may include no management, selective removal, fertility treatment, or both removal and fertility treatment. Wild horse and burro specialists can specify many different options for these management strategies such as the schedule of gathers for removal or fertility treatment, the threshold population size which triggers a gather, the target population size following a removal, the ages and sexes of horses to be removed, and the effectiveness of fertility treatment.

To run the program, one must supply an initial age distribution (or have the program calculate one), annual survival probabilities for each age-sex class of horses, foaling rates for each age class of females, and the sex ratio at birth. Sample data are available for all of these parameters. Basic management options must also be specified.

### **Population Data: Age-Sex Distribution**

An important point about the initial age-sex distribution is that it is NOT necessarily the starting population for each of the trials in a simulation. This is because the program assumes that the initial age-sex distribution supplied on this form or calculated from a population size that the user enters is not an exact and complete count of the population. For example, if the user enters an initial population size of 100 based on an aerial survey, this is really an estimate of the population and not a census. Furthermore, it is likely to be an underestimate because some horses will be missed in the survey. Therefore, the program uses an average sighting probability of approximately 90% (Garrott et al. 1991) to "scale-up" the initial population estimate to a starting population size for use in each trial. This is done by a random process, so the starting population sizes are different for all trials. An option does exist to consider the initial population size to be exact and bypass this scaling-up process.

### **Population Data: Survival Probabilities**

A fundamental requirement for a population model are data on annual survival probabilities of each age class. The program contains files of existing sets of survival or it is possible to enter a new set of data in the table. In most cases, Wild Horse and Burro Specialists do not have data on survival probabilities for their herd populations, so the sample data files provided with WinEquus are used and assume that average survival probabilities in the populations are similar. These data are more difficult to get than is often assumed, because they require keeping track of known individuals over time. A "snapshot" of a population, providing information on the age distribution at a single gather, canNOT be used to estimate survival probabilities without assuming a particular growth rate for the population (Jenkins, 1989). More data from long-term studies of marked horses are needed to develop estimates of survival in various habitats.

### **Population Data: Foaling Rates**

Foaling rates are the proportions of females in each age class that produce a foal at that age. Files are available within the program that set foaling rates or the user may enter a new set of data in the table. The user may also enter the sex ratio at birth, another necessary parameter for population simulation.

### **Environmental Stochasticity**

For any natural population, mortality and reproduction vary from year to year due to unpredictable variation in weather and other environmental factors. This model mimics such environmental stochasticity by using a random process to increase or decrease survival probabilities and foaling rates from average values for each year of a simulation trial. Each trial uses a different sequence of random values to give different results for population growth. Looking at the range of final population sizes in many such trials will give the user an indication of the range of possible outcomes of population growth in an uncertain environment.

How variable are annual survival probabilities and foaling rates for wild horses? The longest study reporting such data was done at Pryor Mountain, Montana by Garrott and Taylor (1990). Based on 11 years of data at this site, survival probability of foals and adults combined was greater than 98% in 6 years, between 90 and 98% in 3 years, 87% in 1 year, and only 49% in 1 year of severe winter weather. These values clearly are not normally distributed, but can be approximated by a logistic distribution. This pattern of low mortality in most years but markedly higher mortality in occasional years of bad weather was also reported by Berger (1986) for a site in northwestern Nevada. Therefore, environmental stochasticity in this model is simulated by drawing random values from logistic distributions. If desired, different values can be entered to change the scaling factors for environmental stochasticity.

Because year-to-year variation in weather is likely to affect foals and adults similarly, this model makes foal and adult survival perfectly correlated. This means that when survival probability of foals is high so is the survival probability of adults, and vice versa. By contrast, the correlation between survival probabilities and foaling rates can be adjusted to any value between -1 and +1. The default correlation is 0 based on the Pryor Mountain data and the assumption that most mortality occurs in winter and winter weather is not highly correlated with foaling-season weather.

The model includes another form of random variation called demographic stochasticity. This means that mortality and reproduction are random processes even in a constant environment (i.e., a foaling rate of 40% means that each female has a 40% chance of having a foal). Because of demographic stochasticity, even if scaling factors for both survival probabilities and foaling rates were set equal to 0, different runs of the simulation would produce different results. However, variation in population growth due to demographic stochasticity will be small except at low population sizes.

### **Gathering Schedule**

There are three choices for the gather schedule: gather at a regular interval, gather at a minimum interval (the default), or gather in specific years. Gathering at a minimum interval means that gathers will be conducted no more frequently than a prescribed interval (e.g., 3 years), but will not be conducted if the time interval has passed unless the population is above a threshold size that triggers a gather.

### **Gather Interval**

This is the number of years between gathers.

### **Gather for fertility treatment regardless of population size?**

If this option is selected (the default), then gathers occur according to the gathering schedule specified regardless of whether or not the population exceeds a threshold population size. One effect of this is that a minimum-interval schedule really functions as a regular interval.

### **Continue gather after reduction to treat females?**

Continuing a gather after a reduction to treat females (with fertility control management options) means that, if a gather for a removal has been triggered because the population has exceeded a threshold population size, then horses will continue to be processed even after enough have been removed to reduce the population to the target population size. As additional horses are processed, females to be released back will be treated with an immunocontraceptive according to the information specified in the Contraceptive Parameters form.

### **Threshold for Gather**

The threshold population size for triggering a gather is the actual population size in a particular year estimated by the program. This is NOT the same as the number of horses counted in an aerial census, but closer to an estimate of population size taking into account the fact that an aerial census typically underestimates population size.

### **Target Population Size**

This is the goal for the population size following a gather and removal. Horses will be removed until this target is reached, although it may not be possible to achieve this goal, depending on the removal parameters (percentages of each age-sex class to be removed) and gathering efficiency.

### **Are foals included in AML?**

In most districts, foals are counted as part of the appropriate management level (AML).

### **Gathering Efficiency**

Typically, some horses will successfully resist being gathered, either by hiding in habitats where they can not be seen or moved by a helicopter, or by following escape routes that make it dangerous or un-economical for them to be herded from the air. These horses are not available for removals or fertility treatment. The default gathering efficiency is 80%, meaning that the program assumes that 20% of the population will successfully resist being gathered. This value may be changed.

Note that the program assumes that horses of all age-sex classes are equally likely to be gathered. This is an unrealistic assumption because bachelor males, for example, may be more likely to successfully avoid being gathered than females or foals or band stallions.

### **Sanctuary-bound Horses**

Age-selective removals typically target younger age classes such as 0 to 5 year-olds or 0 to 9 year-olds because these horses are more easily adopted. However, it may not be possible to reduce the population to a target size by restricting removals to these younger

age classes, especially if age-selective removals have been conducted in the past. In this case, an option is available to remove older animals as well, who may be destined for permanent residence in a long term holding facility rather than for adoption. The minimum age of these long term holding facility horses is specified for this element. When older age classes as well as younger age classes are identified for removal on the Removal Parameters form, horses of these older age classes are selected along with younger age class horses as the population is reduced to the target value. If a minimum age for long term holding facility horses is specified, then older animals are only removed if the population can not be reduced to the target population size by removing the younger ones.

### **Percent Effectiveness of Fertility Control**

These percentages represent the percentage of treated females that are in fact sterile for one year, two years, etc. (i.e., the efficacy or effectiveness of fertility treatment). The default values are 90% efficacy for one year. However, the user may specify the effectiveness year by year for up to five years.

### **Removal Parameters**

This allows the user to determine the percentages of horses in each sex and age class to be removed during a gather. The program uses these percentages to determine the probabilities of removing each horse that is processed during a gather. If the percentage for an age-sex class is 100%, then all horses of that age-sex class that are processed will be removed until the target population size is reached. If the percentage for an age-sex class is 0%, then all horses of that age-sex class will be released. If the percentage for an age-sex class is greater than 0% but less than 100%, then the proportion of horses of that age-sex class removed will be approximately equal to the specified percentage.

### **Contraception Parameters**

This allows the user to specify the percentage of released females of each age class that will be treated with an immunocontraceptive. The default values are 100% of each age class, but any or all of these may be changed.

### **Most Typical Trial**

This is the trial that is most similar to each of the other trials in a simulation

### **Population Size Table**

The default is both sexes and all age classes, but summary results may also be chosen for a subset of the population. The table identifies some key numbers such as the lowest minimum in all trials, the median minimum, and the highest minimum. Thinking about the distribution of minima for example, half of the trials have a minimum less than the median of the minima and half have a minimum greater than the median of the minima.

If the user was concerned about applying a management strategy that kept the population above some level because the population might be at risk of losing genetic diversity if it were below this level, then one might look at the 10th percentile of the minima, and argue that there was only a 10% probability that the population would fall below this size in x years, given the assumptions about population data, environmental stochasticity, and management that were used in the simulation.

### **Gather Table**

The default is both sexes and all age classes, but summary results may be for a subset of the population. The table shows key values from the distribution of the minimum total number of horses gathered, removed, and (if one elected to display data for both sexes or just for females) treated with a contraceptive across all trials. This output is probably the most important representation of the results of the program in terms of assessing the effects of your management strategy because it shows not only expected average results but also extreme results that might be possible. For example, only 10% of the trials would have entailed gathering fewer animals than shown in the row of the table labeled "10th percentile", while 10% of the trials would have entailed gathering more than shown in the row labeled "90th percentile". In other words, 80% of the time one could expect to gather a number of horses between these 2 values, given the assumptions about survival probabilities, foaling rates, initial age-sex distribution, and management options made for a particular simulation

### **Growth Rate**

This table shows the distribution of the average population growth rate. The direct effects of removals are not counted in computing average annual growth rates, although a selective removal may change the average foaling rate or survival rate of individuals in the population (e.g., because the age structure of the population includes a higher percentage of older animals), which may indirectly affect the population growth rate. Fertility control clearly should be reflected in a reduction of population growth rate.

### **Results - Population Modeling, Augusta Mountains HMA**

To complete the population modeling for the Augusta Mountains HMA version 1.40 of the WinEquus program, created April 2, 2002, was utilized.

### **Objectives of Population Modeling**

Review of the data output for each of the simulations provided many useful comparisons of the possible outcomes for each alternative. The developer, Stephen Jenkins, recommends thinking about the range of possible outcomes and not just focusing on one average or typical trial. Some of the questions that need to be answered through the modeling include:

- Do any of the alternatives "crash" the population?

- What effect does fertility control have on population growth rate?
- What effects do the different alternatives have on the average population size?

**Population Data, Criteria, and Parameters utilized for Population Modeling**

Initial age structure for the 2003 herd was developed from age structure data collected during the 1999 Augusta Mountains HMA wild horse gather. The 1999 release data was combined with a data set developed for an estimated 249 wild horses not gathered. This data set was based on age structure data from the 1999 gather population.

The following table displays the age structure for released animals, the estimated age structure for animals not gathered and the estimated post gather population for 1999.

**Initial Age Structure 1998**

Age Class	Augusta Mountains HMA Released Animals - 1999		Typical Population for 279 Animals not gathered		Augusta Mountains HMA Estimated Post Gather Population 1999	
	Females	Males	Females	Males	Females	Males
Foals	1	0	28	29	29	29
1	0	1	2	0	2	0
2	1	0	14	11	14	11
3	0	0	27	14	27	14
4	0	0	7	14	7	14
5	0	0	4	3	4	3
6	6	2	3	1	9	3
7	8	7	5	4	13	11
8	19	5	10	3	29	8
9	7	4	3	2	10	6
10-14	48	47	24	24	72	71
15-19	21	24	11	12	32	36
20+	13	34	8	16	21	50
Total	123	123	146	133	269	256

A simulation, using the estimated 1999 post gather population as the initial age structure was then run for the years 1999 to 2003 under the “no management” management option. The most typical trial obtained from this simulation was saved and used to represent the 2003 age structure of the herd and rescaled to an initial population of 495 horses, which represents the estimated population in 2003.

The following table displays the initial age structure used for the Augusta Mountains HMA 2003 wild horse population utilized in the population model for the Proposed Action and Alternatives (I-IV).



**Initial Age Structure (Modeled) - 2003**

Age Class	Augusta Mountains HMA Initial Age Structure 2003	
	Females	Males
Foals	26	23
1	40	29
2	29	33
3	33	51
4	14	20
5	5	2
6	5	4
7	10	6
8	4	4
9	6	4
10-14	32	16
15-19	23	21
20+	16	39
Total	243	252

All simulations used the survival probabilities and foaling rates supplied with the WinEquus population model for the Granite Range HMA. Survival and foaling rate data were extracted from, *Wild Horses of the Great Basin*, by J. Berger (1986, University of Chicago Press, Chicago, IL, xxi + 326 pp.). Rates are based on Joel Berger's 6 year study in the Granite Range HMA in northwestern Nevada. The sex ratio at birth observed by Berger in the Granite Range was modified from 57% males at birth to 50% males at birth for this modeling effort based on gather data from the 1999 gather, which was a total capture gather.

Survival probabilities and foaling rates utilized in the population model for each the Proposed Action and Alternatives (I-IV) are displayed in the following table:

**Survival Probabilities and Foaling Rates**

Age Class	Survival Probabilities		Foaling Rates
	Females	Males	
Foals	.917	.917	--
1	.969	.969	--
2	.951	.951	.35
3	.951	.951	.40
4	.951	.951	.65
5	.951	.951	.75
6	.951	.951	.85
7	.951	.951	.90
8	.951	.951	.90
9	.951	.951	.90
10-14	.951	.951	.85
15-19	.951	.951	.70
20	.951	.951	.70

The next table displays the selective removal criteria utilized in the population model for the Proposed Action and Action Alternatives (I-III):

**Removal Criteria - Standard**

Age	Percentages for Removals	
	Females	Males
Foal	100%	100%
1	100%	100%
2	100%	100%
3	100%	100%
4	100%	100%
5	100%	100%
6	--	--
7	--	--
8	--	--
9	--	--
10-14	100%	100%
15-19	100%	100%
20+	100%	100%

**Population Modeling Criteria**

The following summarizes the population modeling criteria that are common to the Proposed Action and Alternatives (I-III):

- Starting Year: 2003
- Initial gather year: 2003
- Gather interval: minimum interval of five years (4 year run)
- Sex ratio at birth: 50% male
- Percent of the population that can be gathered: 90%
- Minimum age for long term holding facility horses: 10 years old
- Foals are included in the AML
- Simulations were run for four years with 100 trials each

The following summarizes the population modeling criteria for Alternative IV, No Action:

- Starting Year: 2003
- Sex ratio at birth: 50% male
- Simulations were run for four years with 100 trials each

The following table displays additional population modeling parameters utilized in the model for the Proposed Action and Alternatives (I-III):

**Population Modeling Parameters, Action Alternatives (I-IV)**

Alternative	I	II	III	IV
AML Range	185	185	308	308
Management by removal only	--	Yes	--	Yes
Management by removal and fertility control	Yes	--	Yes	--
Threshold population size for gathers	308	308	308	308
Target population size following gathers	185	185	308	308
Gather for fertility control regardless of population size?	Yes	--	Yes	--
Gathers continue after removals to treat additional females?	NA	--	NA	--
Effectiveness of Fertility Control: year 1	90%	--	90%	--
Effectiveness of Fertility Control: year 2	0%	--	0%	--

**Population Modeling Results**

**Population size in five years**

Out of 100 trials in each simulation, the model tabulated minimum, average, and maximum population sizes. The model was run to cover years 2003 to 2007 to determine what the potential effects would be on population size for the Proposed Action and Alternatives (I-IV). These numbers are useful to make relative comparisons of the Proposed Action and the different Alternatives and of the potential outcomes under different management options. The data displayed within the tables are broken down into different levels. The lowest trial, highest trial, and several percentile trials are displayed for each simulation completed. According to the model developer, this output is probably the most important representation of the results in terms of assessing the effects of proposed management. The trials show not only the expected average results, but also extreme high and low results of the modeling scenario.

**Population Sizes in 5 years - Minimum**

Alternative	Proposed Action	I	II	III	IV (No Action)
Lowest Trial	115	149	249	287	446
10th Percentile	192	200	297	330	504
25th Percentile	212	216	334	352	520
<b>Median Trial</b>	<b>227</b>	<b>233</b>	<b>358</b>	<b>375</b>	<b>538</b>
75th Percentile	241	246	382	389	557
90th Percentile	246	253	402	408	600
Highest Trial	263	264	432	432	743

The above table shows that in five years (based on 100 trials for the Proposed Action and each Alternative) the lowest population of 0-20+ year old horses, 115 animals, resulted under the Proposed Action. Half of the trials were greater than the median and half were less than the median. Additional interpretation may be made by comparing the various percentile points. In the Proposed Action, 10% of the trials resulted in fewer than 192 wild horses as the minimum population, and 10% of the trials resulted in a minimum population larger than 246 wild horses. Therefore, one could expect a minimum population between these two values 80% of the time for the Proposed Action (given the assumptions about survival probabilities, foaling rates, initial age-sex distribution, and management options made for this simulation). Alternative IV, the No Action Alternative, reflects the highest range of minimum population level of all the trials as no management action would occur. Minimum population size modeling results indicate a population crash would not occur with implementation of any of the Alternatives or the Proposed Action.

**Population Sizes in 5 years - Average**

<b>Alternative</b>	<b>Proposed Action</b>	<b>I</b>	<b>II</b>	<b>III</b>	<b>IV (No Action)</b>
Lowest Trial	235	263	363	399	512
10th Percentile	286	310	395	435	670
25th Percentile	305	328	420	465	717
<b>Median Trial</b>	<b>325</b>	<b>349</b>	<b>451</b>	<b>494</b>	<b>766</b>
75th Percentile	343	367	476	517	830
90th Percentile	356	381	492	537	888
Highest Trial	389	411	521	590	1066

The "Population sizes in 5 years – Average" table above displays the average population size expected after implementation of each Alternative (100 runs each) after five years. The average population size ranged from a low of 235 wild horses under the Proposed Action, to a high of 1066 wild horses under Alternative IV, No Action. Results among Action Alternatives are again very similar, although comparison of the Median Trial across Alternatives reflects the expected outcomes associated with gathering to lower or upper AML limits and with implementation of fertility control or not. The Proposed Action - gather to low AML and implement fertility control - results in the lowest average population in five years. Alternative I – gather to low AML and do not implement fertility control - results in a slightly higher five-year population. Alternative II – gather to high AML and implement fertility control - is most similar to the expected population of Alternative III. Alternative III – gathering to high AML without fertility control results in the highest predicted five-year population out of the four action Alternatives. The Median Trial population for Alternative IV, No Action, is approximately 36% greater than that of Alternative III.

**Population Sizes in 5 years - Maximum**

<b>Alternative</b>	<b>Proposed Action</b>	<b>I</b>	<b>II</b>	<b>III</b>	<b>IV (No Action)</b>
Lowest Trial	498	497	498	499	565
10th Percentile	504	508	516	529	834
25th Percentile	518	518	534	561	936
<b>Median Trial</b>	<b>536</b>	<b>538</b>	<b>556</b>	<b>602</b>	<b>1031</b>
75th Percentile	571	564	590	656	1123
90th Percentile	597	594	624	712	1250
Highest Trial	694	674	705	778	1518

This table displays the largest populations that could be expected out of 100 trials for the Proposed Action and the Alternatives. The same discussion applies to the population results as discussed under the Minimum table. All figures are very similar because under all of the Alternatives, the same starting population, gather efficiency, etc. is assumed and the range of AML is not great. The numbers vary due to randomness and assumptions inherent to the modeling program.

### Average Growth Rates in 5 years

Average growth rates were obtained by running the model for 100 trials from 2003 to 2007 for each Alternative. The following table displays the results obtained from the model:

#### Average Growth Rate in 4 Years

Alternative	Proposed Action	I	II	III	IV (No Action)
Lowest Trial	-7.6%	2.0%	- 3.1%	6.2%	1.5%
10th Percentile	8.5%	12.3%	7.3%	12.1%	10.7%
25th Percentile	12.2%	15.7%	10.8%	14.7%	13.6%
<b>Median Trial</b>	<b>15.8%</b>	<b>19.4%</b>	<b>14.6%</b>	<b>17.9%</b>	<b>17.6%</b>
75th Percentile	18.5%	21.8%	16.0%	20.5%	20.1%
90th Percentile	20.8%	23.9%	18.5%	23.3%	21.9%
Highest Trial	27.6%	27.4%	21.9%	26.1%	27.4%

As expected, the two Alternatives implementing fertility control (the Proposed Action and Alternative II) reflect the lowest overall median growth rate. The target size to which the population is gathered (185 or 308 wild horses) appears to have minimal impacts to growth rates. This is demonstrated by the growth rates being quite similar for the Proposed Action and Alternative II (fertility control alternatives) and Alternatives I and III (no fertility control alternatives).

The Lowest Trial growth rate of -7.6% does not appear to be a direct result of management options, but instead, appears to reflect the random nature of the model and the ability to simulate extreme scenarios. The range of growth rates is a reasonable representation of what could be expected to occur in a wild horse population.

### Totals in five years – Gathered, Removed, and Treated

The same type of tabular data was obtained from the model for the numbers of wild horses gathered, removed and treated under each Alternative. The data is for one gather only that is proposed to occur in 2003 and includes all animals 0-20+ years of age.

#### Totals in 5 Years -- Gathered

Alternative	Proposed Action	I	II	III	IV (No Action)
Lowest Trial	416	316	416	193	NA
10th Percentile	420	332	421	205	
25th Percentile	436	343	434	219	
<b>Median Trial</b>	<b>449</b>	<b>360</b>	<b>451</b>	<b>234</b>	
75th Percentile	478	391	468	264	
90th Percentile	502	424	496	302	

Highest Trial            582                    505                    593                    474

**Totals in 5 Years -- Removed**

<b>Alternative</b>	<b>Proposed Action</b>	<b>I</b>	<b>II</b>	<b>III</b>	<b>IV (No Action)</b>
Lowest Trial	253	256	152	150	NA
10th Percentile	262	262	158	162	
25th Percentile	276	274	171	174	
<b>Median Trial</b>	<b>290</b>	<b>290</b>	<b>187</b>	<b>188</b>	
75th Percentile	318	312	210	209	
90th Percentile	339	336	232	240	
Highest Trial	421	406	323	372	

**Totals in 5 Years -- Treated**

<b>Alternative</b>	<b>Proposed Action</b>	<b>I</b>	<b>II</b>	<b>III</b>	<b>IV (No Action)</b>
Lowest Trial	49	NA	95	NA	NA
10th Percentile	52		99		
25th Percentile	56		103		
<b>Median Trial</b>	<b>59</b>		<b>107</b>		
75th Percentile	62		110		
90th Percentile	65		114		
Highest Trial	71		118		

The number of horses gathered does not differ greatly between alternatives because gather criteria is the same for all alternatives. What does differ widely is the number of wild horses removed and treated under the different alternatives. The Proposed Action and Alternatives I are similar in the number of animals removed, because each of these alternatives includes gathering to the target number of 185 which is the lower limit of the management range. Similarly, Alternatives II and III are also similar because they both include a target number of 308.

The model indicates that nearly twice as many mares would be treated with immunocontraceptive under Alternative II than under the Proposed Action. More animals would be released under Alternative II, as the target population is higher than the Proposed Action.

**Population Modeling Summary**

To summarize the results obtained by simulating the range of alternatives for the Augusta Mountains HMA wild horse gather, the original questions can be addressed.

- Do any of the alternatives “crash” the population?

None of the alternatives indicate that a crash is likely to occur to the population. Minimum population levels and growth rates are all within reasonable levels, and adverse impacts to the population are not likely.

- What effect does fertility control have on population growth rate?

As expected, the two alternatives implementing fertility control (the Proposed Action and Alternative II) reflect the lowest overall growth rate. The target size to which the population is gathered to (185 or 308 wild horses) appears to have minimal impacts to growth rates, as demonstrated by the growth rates being quite similar for the Proposed Action and Alternative II (fertility control alternatives) and for Alternative II and IV (no fertility control alternatives).

- What effect do the different alternatives have on the average population size?

Alternative	Proposed Action	I	II	III	IV(No Action)
Minimum Median Trial	227	233	358	375	538
Average Median Trial	325	349	451	494	766
Maximum Median Trial	536	538	556	602	1031

The level to which the population is gathered (lower or upper limit of the management range) appears to be more of an influence to population size than fertility control (the Proposed Action and Alternative I versus the Proposed Action and Alternative II) as the lowest population numbers occur there (227 and 233 animals). Comparing action Alternatives (the Proposed Action – III), Average Median Trial results indicate that fertility control with a gather to the lower limit of the management range (the Proposed Action) would produce the lowest average population at 325 animals, and no fertility control with a gather to the upper limit of the management range would produce the highest average population at 494 animals (Alt III). As expected, Alternative IV, the No Action Alternative results in the highest average population of 766 animals.

In comparing fertility control Alternatives (the Proposed Action and Alt II), gathering to the upper limit of the management range rather than to the lower limit of the management range results in an average medial population size that is approximately 28% larger. The difference between gathering to the lower limit of the management range (Alt I) but applying fertility control (the Proposed Action) is 24 animals. Both are gathered to lower limit of the management range but fertility control is not implemented in Alternative II. The largest difference (excluding Alternative IV, No Action) is noted between the Proposed Action and Alternative III, where the average median population size is approximately 34% larger when fertility control is not implemented and the population is gathered to the upper limit of the management range (399 animals versus 367 animals).

## **Appendix D**

### **Standard Operating Procedures**

Gathers would be conducted by utilizing contractors from the Wild Horse and Burro Gathers, Western United States Contract, or BLM personnel. The following procedures for gathering and handling wild horses and burros would apply whether a contractor or BLM personnel conduct a gather. For helicopter gathers conducted by BLM personnel, gather operations will be conducted in conformance with the Wild Horse and Burro Aviation Management Handbook (March 2000).

Prior to any gathering operation, the BLM will provide for a pre-capture evaluation of existing conditions in the gather area(s). The evaluation will include animal condition, prevailing temperatures, drought conditions, soil conditions, road conditions, and a topographic map with, wilderness Boundaries, the location of fences, other physical barriers, and acceptable trap locations in relation to animal distribution. The evaluation will determine whether the proposed activities will necessitate the presence of a veterinarian during operations. If it is determined that capture efforts necessitate the services of a veterinarian, one would be obtained before capture would proceed. The contractor will be apprised of all conditions and will be given instructions regarding the capture and handling of animals to ensure their health and welfare is protected.

Trap sites and temporary holding sites will be located to reduce the likelihood of undue injury and stress to the animals, and to minimize potential damage to the natural resources of the area. These sites would be located on or near existing roads.

The following procedures and stipulations will followed to ensure the welfare, safety and humane treatment of wild horses and burros in accordance with the provisions of 43 CFR 4700.

#### **A. Capture Methods Used in the Performance of a Gather**

##### **1. Helicopter Drive Trapping**

This capture method involves utilizing a helicopter to herd wild horses into a temporary trap. The following stipulations apply:

- a. A minimum of two saddle horses shall be immediately available at the trap site to accomplish roping if necessary. Roping shall be done as determined by the BLM. Under no circumstances shall animals be tied down for more than one hour.
- b. The Contractor shall assure that bands remain together, and that foals shall not be left behind.
- c. Domestic saddle horses may be used as a pilot (i.e. Judas) horse to lead the



wild horses into the trap. Individual ground hazers may also be used to assist in the gather.

## **2. Helicopter Assisted Roping**

This capture method involves utilizing a helicopter to herd wild horses or burros to ropers. The following stipulations apply:

- a. Under no circumstances shall animals be tied down for more than one hour.
- b. Roping shall be performed in such a manner that bands will remain together. Foals shall not be left behind.

## **3. Bait Trapping**

This capture method involves utilizing bait (water or feed) to lure wild horses or burros into a temporary trap. The following stipulations apply:

- a. Finger gates shall not be constructed of materials that may be injurious to animals such as; "T" posts, sharpened willows, etc.
- b. All trigger and/or trip gate devices must be approved by the BLM prior to capture of animals.
- c. Traps shall be checked a minimum of once every 10 hours.

## **B. Trapping and Care**

The primary concern is for the safe and humane handling of all animals captured. All capture attempts shall incorporate the following:

1. All trap and holding facility locations must be approved by the BLM prior to construction. The Contractor may also be required to change or move trap locations as determined by the BLM. All traps and holding facilities not located on public land must have prior written approval of the land owner. Prior to setting up a trap or temporary holding facility, BLM will conduct all necessary clearances (archaeological, T&E, etc.).
2. The rate of movement and distance the animals travel shall not exceed limitations set by the BLM, who will consider terrain, physical barriers, weather, condition of the animals, and other factors.
3. All traps, wings, and holding facilities shall be constructed, maintained and operated to handle animals in a safe and humane manner and be in accordance with the following:

- a. Traps and holding facilities shall be constructed of portable panels, the top of which shall not be less than 72 inches for horses and 60 inches for burros, and the bottom rail of which shall not be more than 12 inches from ground level. All traps and holding facilities shall be oval or round in design.
  - b. All loading chute sides shall be a minimum of 6 feet high and shall be fully covered with plywood (without holes) or like material.
  - c. All runways shall be a minimum of 30 feet long and a minimum of 6 feet high for horses, and 5 feet high for burros, and shall be covered with plywood, burlap, plastic snow fence or like material a minimum of 1 foot to 5 feet for burros and 1 foot to 6 feet for horses. The location of the government furnished portable restraining chute used to restrain, age, or to provide additional care for animals shall be placed in the runway in a manner as instructed by or in concurrence with the BLM.
  - d. All crowding pens including the gates leading to the runways shall be covered with a material which prevents the animals from seeing out (plywood, burlap, snow fence etc.) and shall be covered a minimum of 1 foot to 5 feet above ground level for burros and 2 feet to 6 feet for horses. Eight linear feet of this material shall be capable of being removed or let down to provide a viewing window.
  - e. All pens and runways used for the movement and handling of animals shall be connected with hinged self-locking gates.
4. No fence modifications will be made without authorization from the BLM. The Contractor shall be responsible for restoration of any fence modification, which he has made.
  5. When dust conditions occur within or adjacent to the trap or holding facility, the Contractor shall be required to wet down the ground with water.
  6. Alternate pens, within the holding facility, shall be furnished by the Contractor to separate mares of jennies with small foals, sick and/or injured animals, and strays from the other animals. Animals shall be sorted as to age, number, size, temperament, sex and condition when in the holding facility so as to minimize, to the extent possible, injury due to fighting and trampling. Under normal conditions, the government will require that animals be restrained for the purpose of determining an animal's age, sex or other necessary procedure. In these instances, a portable restraining chute will be provided by the government. Alternate pens shall be furnished by the Contractor to hold animals if the specific gathering requires the animals to be released back into the capture area(s). In areas requiring on or more trap sites, and when a centralized holding facility is utilized, the Contractor may be required to provide additional holding pens to

segregate animals transported from remote locations so they may be returned to their traditional ranges. Either segregation or temporary marking and later segregation will be at the discretion of the BLM.

7. The Contractor shall provide animals held in the traps and/or holding facilities with a continuous supply of fresh clean water at a minimum rate of 10 gallons per animal per day. Separate water troughs shall be provided at each pen where animals are being held. Water troughs shall be constructed of such material (e.g. rubber, galvanized metal with rolled edges, rubber over metal) so as to avoid injury to the animals. Animals held for 10 hours or more in the traps or holding facilities shall be provided good quality hay at the rate of not less than 2 pounds of hay per 100 pounds of estimated body weight per day.
8. It is the responsibility of the Contractor to provide security to prevent loss, injury or death of captured animals until delivery to final destination.
9. The Contractor shall restrain sick or injured animals if treatment is necessary. The BLM will determine if injured animals must be destroyed and provide for destruction of such animals. A veterinarian may be called to make a diagnosis and final determination for the disposition of sick or injured animals. The contractor may be required to dispose of the carcasses as directed by the BLM. Destruction shall be done by the most humane method available, in accordance with BLM policy outlined in Washington Office Instruction Memorandum No. 2001-165 which states;

A BLM authorized officer may authorize the euthanasia of a wild horse or burro with any of the following conditions:

- a. Displays a hopeless prognosis for life;
  - b. Suffers from a chronic or incurable disease or serious congenital defect;
  - c. Requires continuous treatment for the relief of pain and suffering; or
  - d. Is incapable of maintaining a Henneke body condition score greater than 2, in a normal rangeland environment.
10. Animals shall be transported to final destination from temporary holding facilities within 24 hours after capture unless prior approval is granted by the BLM for unusual circumstances. Animals to be released back into the HMA following gather operations may be held up to 21 days or as directed by the BLM. Animals shall not be held in traps and/or temporary holding facilities on days when there is no work being conducted except as specified by the BLM. The Contractor shall schedule shipments of animals to arrive at final destination between 7:00 a.m. and 4:00 p.m. No shipments shall be scheduled to arrive at final destination on Sunday and Federal holidays, unless prior approval has been

obtained by the BLM. Animals shall not be allowed to remain standing on trucks while not in transport for a combined period of greater than three (3) hours. Animals that are to be released back into the capture area may need to be transported back to the original trap site. This determination will be at the discretion of the BLM.

11. Branded or privately owned animals captured during gather operations will be handled in accordance with state estray laws and existing BLM policy.

### **C. Motorized Equipment**

1. All motorized equipment employed in the transportation of captured animals shall be in compliance with appropriate State and Federal laws and regulations applicable to the humane transportation of animals. The Contractor shall provide BLM with a current safety inspection (less than one year old) for all motorized equipment and tractor-trailers used to transport animals to final destination.
2. All motorized equipment, tractor-trailers, and stock trailers shall be in good repair, of adequate rated capacity, and operated so as to ensure that captured animals are transported without undue risk or injury.
3. Only tractor-trailers or stock trailers with a covered top shall be allowed for transporting animals from trap site(s) to temporary holding facilities, and from temporary holding facilities to final destination(s). Sides or stock racks of all trailers used for transporting animals shall be a minimum height of 6 feet 6 inches from the vehicle floor. Single deck tractor-trailers 40 feet or longer shall have two (2) partition gates providing three (3) compartments within the trailer to separate animals. Tractor-trailers less than 40 feet shall have at least one partition gate providing two (2) compartments within the trailer to separate animals. Compartments in all tractor-trailers shall be of equal size plus or minus 10 percent. Each partition shall be a minimum of 6 feet high and shall have at the minimum a 5 foot wide swinging gate. The use of double deck trailers is unacceptable and will not be allowed.
4. All tractor-trailers used to transport animals to final destination(s) shall be equipped with at least one (1) door at the rear end of the trailer, which is capable of sliding either horizontally or vertically. The rear door(s) of tractor-trailers and stock trailers must be capable of opening the full width of the trailer. Panels facing the inside of all trailers must be free of sharp edges or holes that could cause injury to the animals. The material facing the inside of the trailer must be strong enough, so that the animals cannot push their hooves through the side. Final approval of tractor-trailers and stock trailers used to transport animals shall be held by the BLM.
5. Floors of tractor-trailers, stock trailers, and the loading chute shall be covered and maintained with wood shavings to prevent the animals from slipping.

6. Animals to be loaded and transported in any trailer shall be as directed by the BLM and may include limitations on numbers according to age, size, sex, temperament, and animal condition. The following minimum square feet per animal shall be allowed in all trailers:
  - 11 square feet/adult horse (1.4 linear feet in an 8 foot wide trailer)
  - 8 square feet/adult burro (1.0 linear feet in an 8 foot wide trailer)
  - 6 square feet/horse foal (0.75 linear feet in an 8 foot wide trailer)
  - 4 square feet/burro foal (0.50 linear feet in an 8 foot wide trailer)
7. The BLM shall consider the condition and size of the animals, weather conditions, distance to be transported, or other factors when planning for the movement of captured animals. The BLM shall provide for any brand and/or inspection services required for the captured animals.
8. If the BLM determines that dust conditions are such that the animals could be endangered during transportation, the Contractor will be instructed to adjust speed.

**D. Safety and Communications**

1. The Contractor shall have the means to communicate with the BLM and all contractor personnel engaged in the capture of wild horses and burros utilizing a VHF/FM Transceiver or VHF/FM portable Two-Way radio. If communications are ineffective the government will take steps necessary to protect the welfare of the animals.
2. The proper operation, service and maintenance of all contractor furnished property is the responsibility of the Contractor. The BLM reserves the right to remove from service any contractor personnel or contractor furnished equipment which, in the opinion of the BLM, violate contract rules, are unsafe or otherwise unsatisfactory. In this event, the contractor will be notified in writing to furnish replacement personnel or equipment within 48 hours of notification. All such replacements must be approved in advance of operation by the BLM.
3. All accidents occurring during the performance of any delivery order shall be immediately reported to the BLM.
4. The Contractor must operate in compliance with all applicable Federal, State, and Local laws and regulations.
5. Fueling operations shall not take place within 1,000 feet of animals.

**E. Public Participation**

Opportunities for public viewing (i.e. media, interested public) of gather operations will be made available to the extent possible, however the primary consideration will be to protect the health and welfare of the animals being gathered. The public must adhere to guidance from the on site BLM representative. It is BLM policy that the public will not be allowed to come into direct contact with wild horses and burros held in a BLM facility. Only BLM or contractor personnel may enter the trap site or temporary holding facility corrals. The general public may not directly handle the animals at any time or for any reason during gather operations.

**F. Responsibility and Lines of Communication**

The Contracting Officer's Representative, and Project Inspectors, from the Winnemucca Field Office, will have the direct responsibility to ensure the Contractor's compliance with the contract stipulations. All employees involved in the gathering operation will keep the best interests of the animals at the forefront at all times.

The Assistant Field Manager for Renewable Resources and the Field Manager will take an active role to ensure that appropriate lines of communication are established between the field, Field Office, Nevada State Office, National Wild Horse and Burro Program Office, and the Palomino Valley Wild Horse and Burro Center. All publicity, formal public contact and inquiries will be handled through the Assistant Field Manager for Renewable Resources.

## Appendix E

### Summary of Wild Horse Genetic Viability Issues

The following includes excerpts from the *Summary Recommendations, BLM Wild Horse and Burro Population Viability Forum April 21, 1999* (Coates-Markle, 2000)

BLM regulations and policy state that wild horses and burros shall be managed as *viable, self-sustaining populations* of healthy animals in balance with other multiple uses and the productive capacity of their habitat (CFR 4700.0-6).

BLM regulations and policy state that HMAs should be inventoried and monitored for *population size, animal distribution, herd health* and condition and habitat characteristics at least every 4 years (CFR 4710.2). As such, BLM is required to provide reliable estimates of population size and distribution within each herd management area on a regular interval.

*Self-sustaining* refers to the process whereby established populations are able to persist and successfully produce viable offspring which shall, in turn, produce viable offspring, and so on over the long term. The absolute size which a population must attain to achieve a self-sustaining condition varies based on the demographic and sociological features of the herd (and adjoining herds), and these aspects should be evaluated on a case by case basis. In many cases it is not necessary that populations be isolated genetic units, but both naturally-occurring and management-induced ingress and egress activity can be considered, in order to maintain sufficient genetic diversity within these populations.

Reproductive capacity is, to a large degree, dictated by the genetic fitness of a population. Generally speaking, the higher the level of genetic diversity, within the herd, the greater its long-term reproductive capacity. Inbreeding, random matings (genetic drift), and/or environmental catastrophes can all lead to the loss of genetic diversity within the population. In most herds, though, genetic resources will tend to be lost slowly over periods of many generations (~10 years/generation), and there is little imminent risk of inbreeding or population extinction. Potential negative consequences of reduced diversity, however, may include reduced foal production and survival, as well as reduced adult fitness and noted physical deformities. Smaller, isolated populations (<200 total census size) are particularly vulnerable when the number of animals participating in breeding drops below a minimum needed level. This minimum level can be calculated and is different for each population.

In order to fully evaluate genetic viability issues, populations which participate in a measurable level of natural ingress or egress activity and which are, in reality, a component of larger metapopulations, should be identified, and the genetic impact of this activity should be estimated.

Metapopulation refers to two or more local breeding populations which are linked to one another by dispersal activities of individual animals. These populations may have unique demographic features (birth and death rates) but ultimately may share some genetic material if interbreeding is

occurring between individuals. This sharing of genetic material may act to enhance genetic diversity within participating herds, and as such, these populations should be evaluated as one larger metapopulation.

A complete population census of each herd management area is unrealistic, especially for the larger populations (>200 total census size). However, population size can and should be estimated using reliable scientific techniques. These survey techniques are under continual revision and BLM continues to participate in these research efforts. On a more critical level, however, is the determination of size of the many smaller populations (<200 total census size) over which BLM has responsibility. Available data indicates that almost 70% of the managed herds have AMLs (appropriate management levels) set at 150 animals or less. In fact, almost 40% of the herds in Nevada, Utah, Wyoming, Colorado, and Arizona (71 out of 177 total HMAs) are indicated to have population sizes of less than 50 animals. There is a real possibility that some of these populations will be unable to maintain self-sustaining reproductive ability, over the long term, unless there is a natural or management-induced influx of genetic information from neighboring herds. An exchange of only 2 to 3 breeding age animals (specifically females), every 10 years, is often sufficient to maintain genetic diversity within a given herd. Estimates of existing genetic diversity can be calculated for each wild horse and burro population.

Within the context of wild horse and burro populations, the ability to maintain the quality of "reproductively self-sustaining" is required. This can primarily be accomplished through evaluation and the maintenance of an acceptable level of genetic diversity within the population over the long term.

Establishing baseline genetic diversity, for a wild horse population, often refers to typing up to 29 genetic marker systems from a sample of individual animals (~25 individuals or up to 25% of the population) within a specific herd. Traditionally, these marker systems have included blood group and biochemical systems, and have required fresh blood samples. These systems were originally developed for verifying parentage or founder animals within a herd. Analysis of genetic diversity, however, can also be done through the use of DNA genetic marker systems, and direct testing can utilize almost any bodily product including hair or even feces. Only DNA marker analysis can be used for burros, however, due to the very limited variation in blood protein genes.

Most wild horse herds, sampled to date, have shown fairly high levels of genetic diversity. In some cases, however, this diversity is attributed to a large number of low frequency and relatively rare genetic material which is often easily lost from the herd. Thus, it becomes important to understand the genetic makeup of individual herds. Baseline data needed to establish current levels of genetic diversity in populations is relatively easy to gather. Individual samples cost about \$25 to process, and if ~25-50 individuals are sufficient to establish baseline information for herds ranging in size from 100 to 200 animals, then the cost would be approximately \$1250 for herds of this size. As a result, a comparison of genetic viability levels in the tested population can be made to existing information from over 100 domestic and wild horse populations representing different herd sizes and demographic backgrounds.



Previous wildlife conservation research, and current efforts with wild horses, suggest management should allow for a 90% probability of maintaining at least 90% of the existing population diversity over the next 200 years. Existing diversity should be sufficient to ensure a self-sustaining reproductive capacity within the herd.

Genetic diversity, within wild horse and burro populations, refers to the entire complement of genetic material representative of all individuals (or a sample of individuals) from within the population. Some populations may possess genetic uniformity to a certain "type" or breed of horse, but management interests are specific to maintaining a maximum diversity of genetic material which appears representative of each herd. Promotion of diversity will minimize the effects of genetic drift, or the random loss of genetic material due to mating processes, and maximize genetic health of the herds.

Once baseline genetic data has been established, the main focus of genetic management, especially for the smaller populations (<200 total census size), becomes the attempt to preserve as much of the existing genetic diversity as possible. Establishing a genetic conservation goal will require re-testing of herd diversity on at least a five-year cycle, with subsequent evaluations of the potential impact of management decisions (including the establishment and/or revision of appropriate management levels) on that diversity. Management may need to evaluate ways to introduce genetic material into a herd which appears genetically deficient in order to be self-sustaining over the long-term (see subsequent recommendations). Baseline genetic data can also be incorporated into PVA (population viability analysis) models, which attempt to predict the impact of management decisions (as well as environmental catastrophes) on existing diversity levels. Most models require reasonably accurate data in terms of age class foaling and mortality rates, as well as individual genetic information. As such, the means to collect accurate data necessary for a genetically-based PVA, for most herds, is probably unavailable at the present time.

BLM should, in its efforts to evaluate the genetic diversity and self-sustaining nature of managed herds, estimate the genetic effective population size ( $N_e$ ) of all populations, or metapopulations, with a total census size of 200 animals or less.

The genetic effective population size ( $N_e$ ) is a measure of the total number of mares and stallions which contribute genetically, through successful breeding, to the next generation. Although no standard goal for  $N_e$  currently exists for wild horse and burro herds, a goal of  $N_e=50$ , which comes from domestic breeding guidelines, can be conservatively applied. Populations, where  $N_e$  is calculated to be less than 50, may experience higher rates of loss of genetic diversity than would be considered acceptable under recommended management goals.

Limited research into wild horse herds (Pryor Mountain Wild Horse Range and Assateague Island National Seashore populations) has demonstrated that the " $N_e$ ", for a herd under a natural age structure, is about 30-35% of the total census population size. In other words, a total population size of about 150 animals might support only a minimum ( $N_e=50$ ) genetic effective population size.  $N_e$ , however, is difficult to calculate for wild horses, since the calculation is

complicated by a number of issues. The harem structure of the population, for example, greatly limits male participation in breeding, creating an uneven ratio of breeding sexes which reduces  $N_e$  and contributes to a high variation in individual reproductive success. Extreme fluctuations in population size, due to the effects of removals, can also act to reduce the value of  $N_e$ .  $N_e$  is also highly influenced by the sex ratio and age class structure of a population. A sex ratio which favors males and results in larger numbers of smaller sized harems, within the herd, will act to increase  $N_e$  (and male participation in breeding) to a point. A population with an age structure involving high numbers of young animals (<5 years of age) will have a lower value of  $N_e$  than a similar sized population with a larger component of older breeding-age animals (>5 years of age). Also, there is no single, uniformly accepted method to calculate  $N_e$ . However, researchers have used and applied several formulas to certain wild horse herds and have found this comparative approach to provide the best estimates. Generally, the best possible data on population sex ratios and age structures, coupled with reasonable estimates of foaling and mortality rates, will enable managers to evaluate the genetic health of most herds.

BLM should evaluate viable management alternatives for conserving or enhancing genetic diversity within populations (or metapopulations) having a known limited level of diversity, a total census size of less than 200 animals and/or an estimated genetic effective population size ( $N_e$ ) of less than 50.

Viable management alternatives for conserving genetic diversity within managed wild horse and burro herds may take several forms. Some options to be considered might include: altering population age structure (through removals) to promote higher numbers of reproductively-successful animals; altering breeding sex ratios (through removals) to encourage a more even participation of breeding males and females; increasing generation intervals (and reducing the rate of loss of genetic material) by removing (or contracepting) younger versus older mares; and/or introducing breeding animals (specifically females) periodically from other genetically similar herds to help in conservation efforts. In this last scenario, only one or two breeding animals per generation (~10 years) would need to be introduced in order to maintain the genetic resources in small populations of less than 200 animals.

Simply increasing the total herd size by adding additional animals (adjusting the management AML upward) is not the only viable technique for enhancing the genetic effective population size ( $N_e$ ) of a wild horse and burro population. With sound knowledge of existing herd demographic information, management alternatives for specific populations can be evaluated through research modeling efforts. As such, management also has the option of adjusting certain aspects of herd structure in order to promote genetic conservation. It should also be noted that any adjoining herds, which are naturally participating in an exchange of animals and genetic material through interbreeding, are probably self-maintaining their genetic diversity and management should consider both supporting and estimating this type of activity.

BLM should continue to manage wild horse and burro herds, beneath the level which is scientifically referred to as the ecological carrying capacity of the population. This is the level at which science has determined that density-dependent population regulatory mechanisms would take effect within the herd. Most herds are currently managed close to their "economic carrying

capacity” which is approximately 50-65% of the ecological carrying capacity. At this level of management, health of both the horse herd and range ecosystem are prioritized.

BLM regulations and policy state that wild horses and burros shall be managed as viable, self-sustaining populations of healthy animals *in balance with other multiple uses and the productive capacity of their habitat* (CFR 4700.0-6). Thus appropriate management levels (AMLs) are established which provide for a level of use by wild horses and burros which results in a thriving natural ecological balance and avoids deterioration of the range. Furthermore, proper management requires that wild horses and burros be in good health and reproducing at a rate that sustains the population and that population control methods be considered before the herd size causes damage to the rangeland.

Ecological carrying capacity of a population, is a scientific term which refers to the level at which density-dependent population regulatory mechanisms would take effect within specific herds. At this level, however, the herds would show obvious signs of ill-fitness including poor individual animal condition, low birth rates, and high mortality rates in all age classes due to disease and/or increased vulnerability to predation. In addition, supporting range conditions would be noticeably deteriorated, with much of the available habitat showing symptoms of irreparable over-grazing.

Populations of wild horses on western rangelands have the capacity for rates of increase as high as 20-25% per year. Recent research has shown that unmanaged populations of wild horses and/or burros might eventually stabilize (due to density-dependent regulatory mechanisms) at very high numbers, near what is known as their food-limited ecological carrying capacity. At these levels, however, the herds would show obvious signs of ill-fitness including poor individual animal condition, low birth rates, and high mortality rates in all age classes due to disease and/or increased vulnerability to predation. In addition, supporting range conditions would be noticeably deteriorated, with much of the available habitat showing symptoms of irreparable over-grazing. Most wild herds are currently managed close to economic carrying capacity which allows the herds to be healthy with strong foal production and high individual survival rates. This approach should be continued, as it benefits the populations and also allows for the maintenance of healthy and in-balance rangeland systems.

The following was summarized from *Genetic Effective Population Size in the Pryor Mountain Wild Horse Herd: Implications for conservation genetics and viability goals in wild horses* by Francis J. Singer and Linda Zeigenfuss, Biological Resources Division of US Geological Survey, Natural Resources Ecology Lab, Colorado State University (Singer, 2000).

## **Background**

Genetics are typically presumed to be the least important component of minimum viable population predictions and catastrophe is the most important. Catastrophe can be guarded against with large populations of longer predicted persistence times, but also with better management of any given population. Consider the concepts of food-limited ecological carrying capacity and economic carrying capacity. The tarpan and Przewalski's wild horses of Europe and

Asia might have been limited by predation by a combination of wolves, brown bears and one or more large cats, but predation (mostly by mountain lions) is significant in only a very small number of wild horse herds in the US west. Most herds grow at phenomenal rates, for ungulates, of 16-22% per year. We observe that most wild horse herds are managed close to economic carrying capacity (which is typically 50-65% of ecological carrying capacity in numbers) and, at this lowered population level, animals are in better body condition, survival is higher (there is less starvation or dehydration), recruitment is higher, there is less conflict with other vertebrates and soil and vegetation resources, population fluctuations are less, and there is less risk of a resource-limited catastrophe.

Furthermore, while genetics is not a consideration in many free-ranging vertebrates, genetic conservation will become a serious consideration over future decades in wild horse management since so many of the herds are now isolated and small. In the Intermountain West region, 61% of all wild horse populations numbered less than 100 and 41% numbered less than 50 animals. Herds managed at these low numbers for decades might become inbred.

### **Discussion**

Evidence from the Pryor Mountain wild horse herd supports the hypothesis that long-term management of wild horse numbers below the unmanaged maximum, has resulted in improved wild horse conditions, apparently improved range conditions, and a lower probability of a large starvation losses. Genetic effective population size (commonly referred to as  $N_e$ ) is defined as the number of breeding individuals (both male and female) that contribute to the next generation.  $N_e$  is a useful number since it can be used to calculate the loss of genetic variation through genetic drift and/or inbreeding from one generation to the next with the formula  $1/4N_e$ . But  $N_e$  is a difficult number to calculate for wild horses, since the calculation is complicated by overlapping generations, a harem structure greatly limiting male participation in breeding (an uneven ratio of breeding sexes reduces  $N_e$ ), high variance in reproductive success of both sexes, population fluctuations due to removals, and by a typical failure to breed until the age of 3 years for mares and 7 years for stallions. No single, universally acceptable formula exists to deal with these complexities.

No standard goal for  $N_e$  or for loss of genetic resources currently exists for wild horse herds. If a goal of  $N_e=50$  was applied, the goal for maintenance of domestic livestock production and thus probably an absolute minimum for a population in the wild, census  $N$  would need to be in excess of 139-185 wild horses, the excess to account for 3-5 removals per wild horse generation. Management could greatly alter this relationship by: (a) altering breeding sex ratios to increase  $N_e$  through removals, (b) increasing generation length through removal scenarios (which reduces the rate of loss of genetic resources, or (c) introducing breeding animals periodically from other genetically similar herds to maintain genetic resources. Only one to two breeding animals per generation (about every 10 years in wild horses) would maintain the genetic resources in small populations of about 100 animals, thus obviating the need for larger populations in all cases. We stress that there is little imminent risk of inbreeding since most wild horse herds sampled have large amounts of genetic heterozygosity, genetic resources are lost slowly over periods of many generations, and wild horses are long-lived with long generation interval.

